

Neural Networks and Categorization

Ecole Centrale de Nantes

october-november 2011

Pierre Andry
Université Cergy-Pontoise
andry@ensea.fr
ETIS UMR CNRS 8051

Global introduction

Traditional opposition : *classical IA / connectionism*

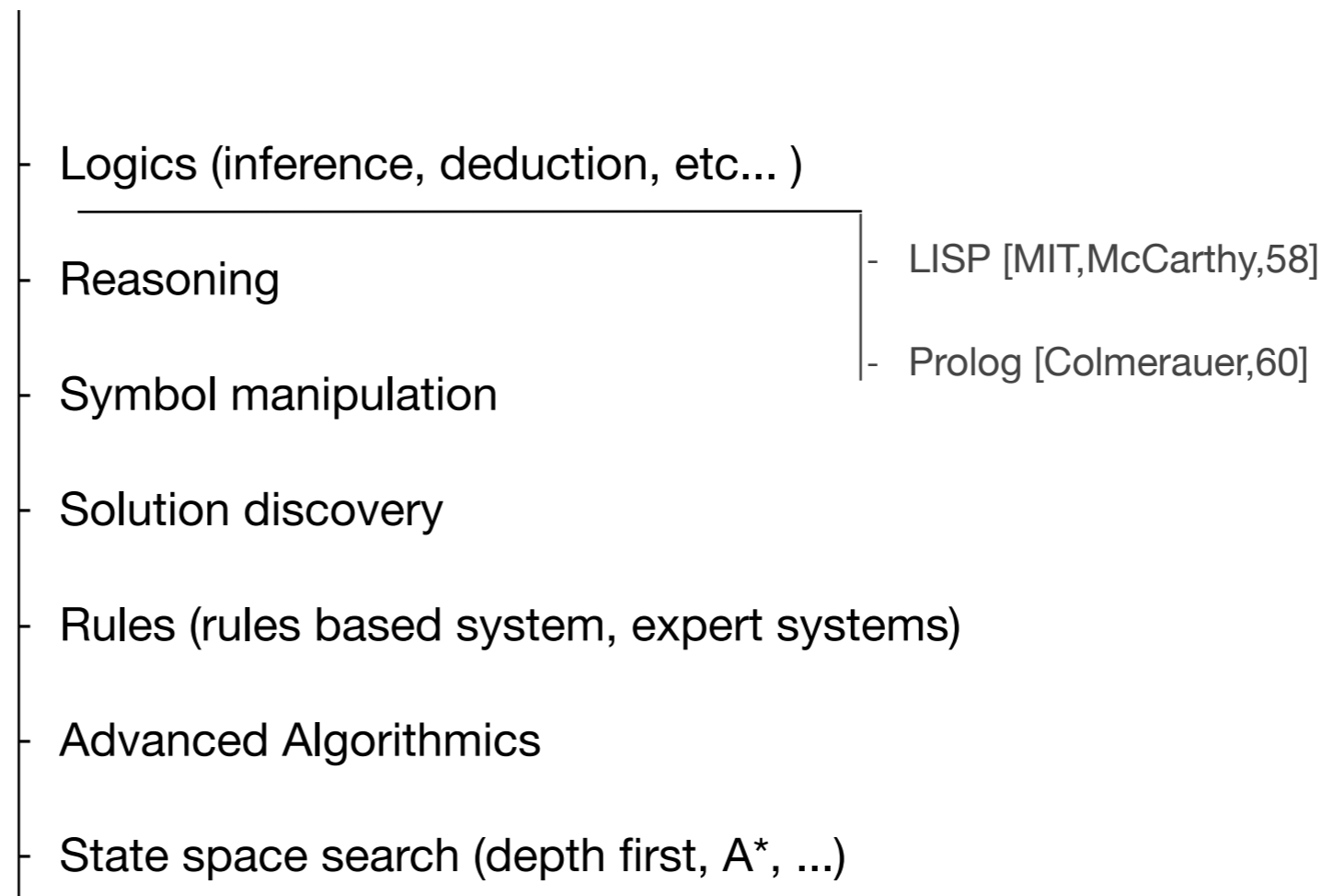
Global introduction

Traditional opposition : *classical IA / connectionism*

- Logics (inference, deduction, etc...)
- Reasoning
- Symbol manipulation
- Solution discovery
- Rules (rules based system, expert systems)
- Advanced Algorithmics
- State space search (depth first, A*, ...)

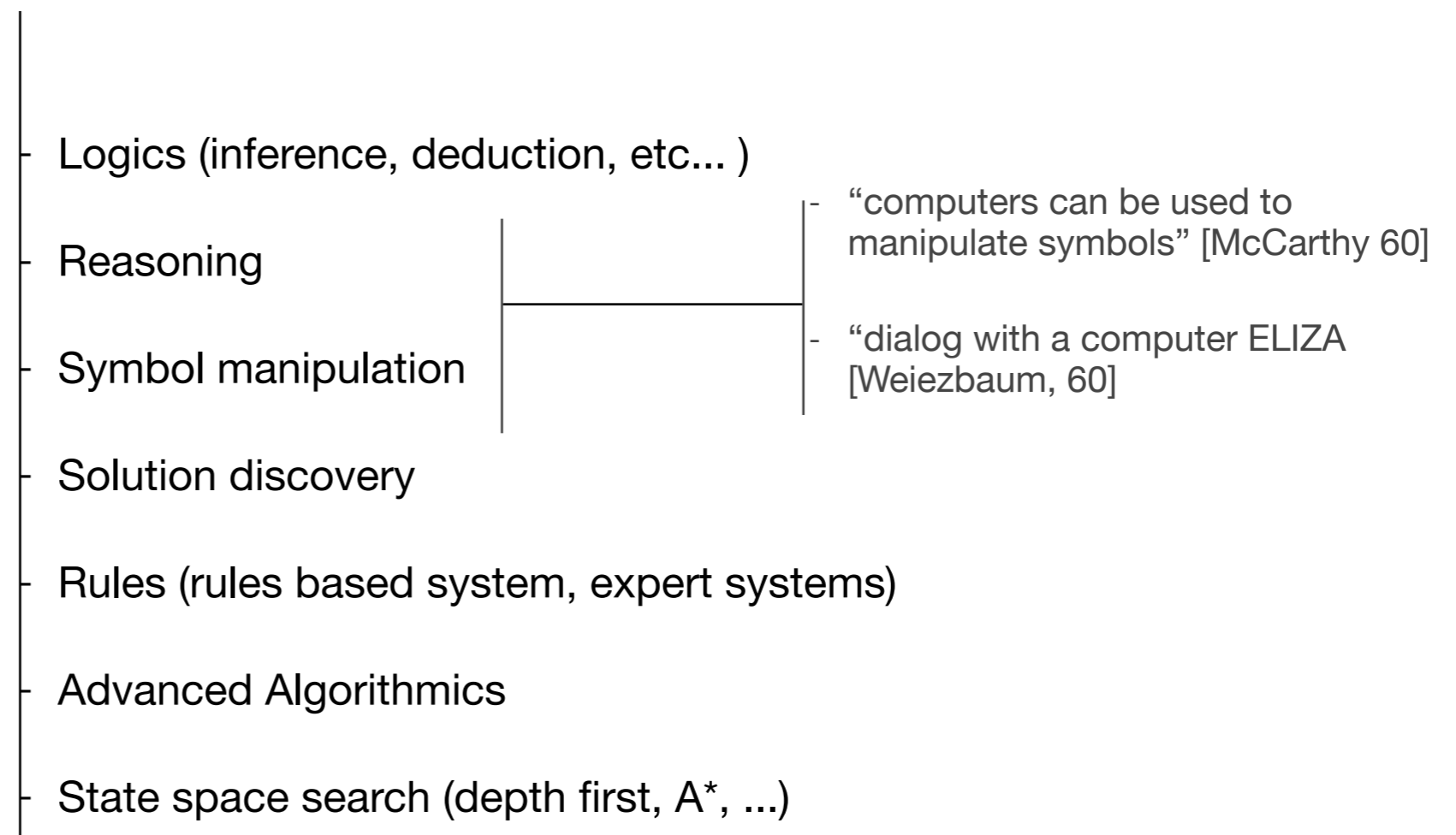
Global introduction

Traditional opposition : *classical IA / connectionism*



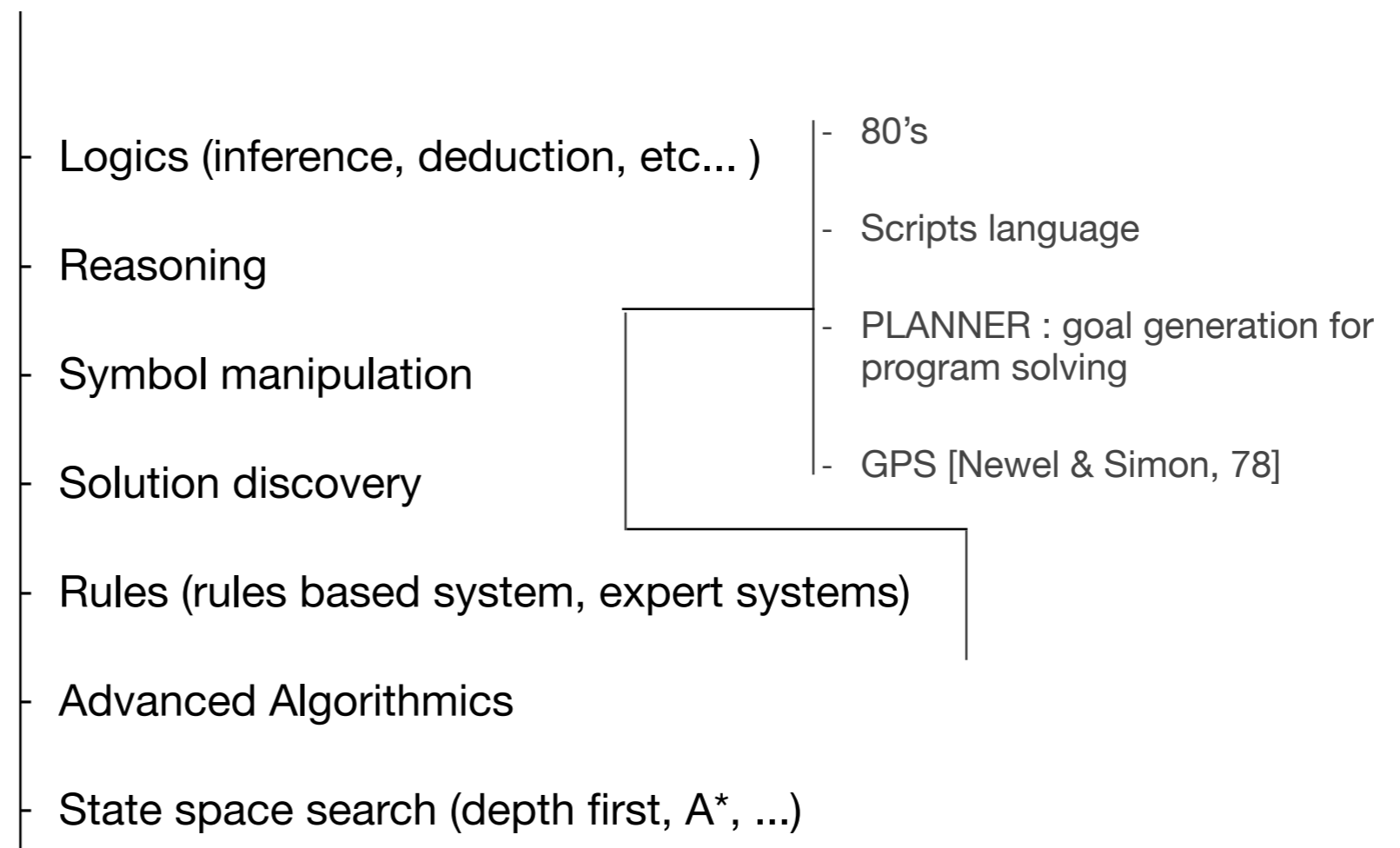
Global introduction

Traditional opposition : *classical IA / connectionism*



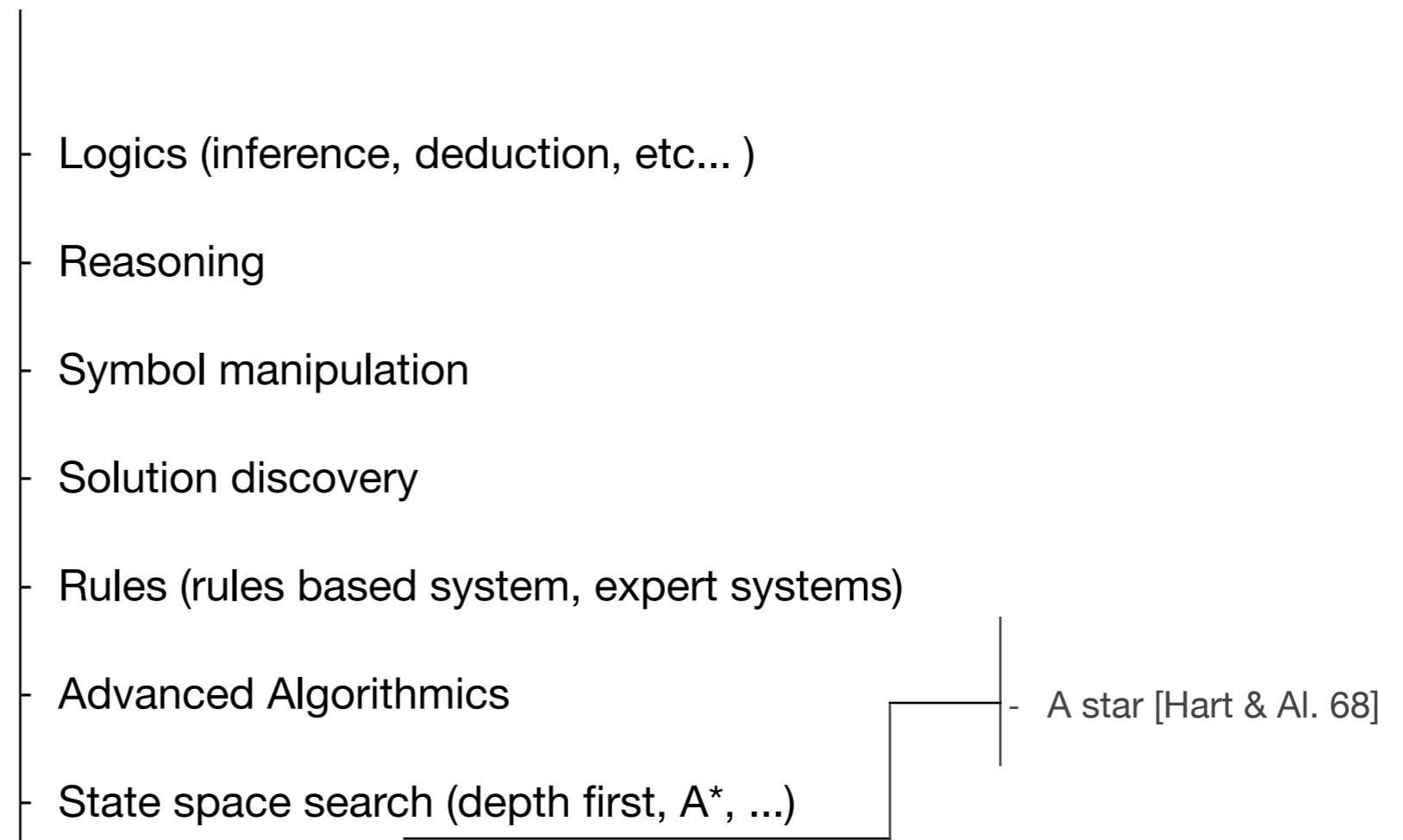
Global introduction

Traditional opposition : *classical IA / connectionism*



Global introduction

Traditional opposition : *classical IA / connectionism*



Global introduction

Traditional opposition : *classical IA / connectionism*

|
└ Achievements ?

Global introduction

Traditional opposition : *classical IA / connectionism*

| Achievement :



In 1997, Deep Blue super computer (IBM) beat world chess champion Gary Kasparov

... and Chess is *one* expression of intelligence (memory, symbol manipulation, adaptation...)

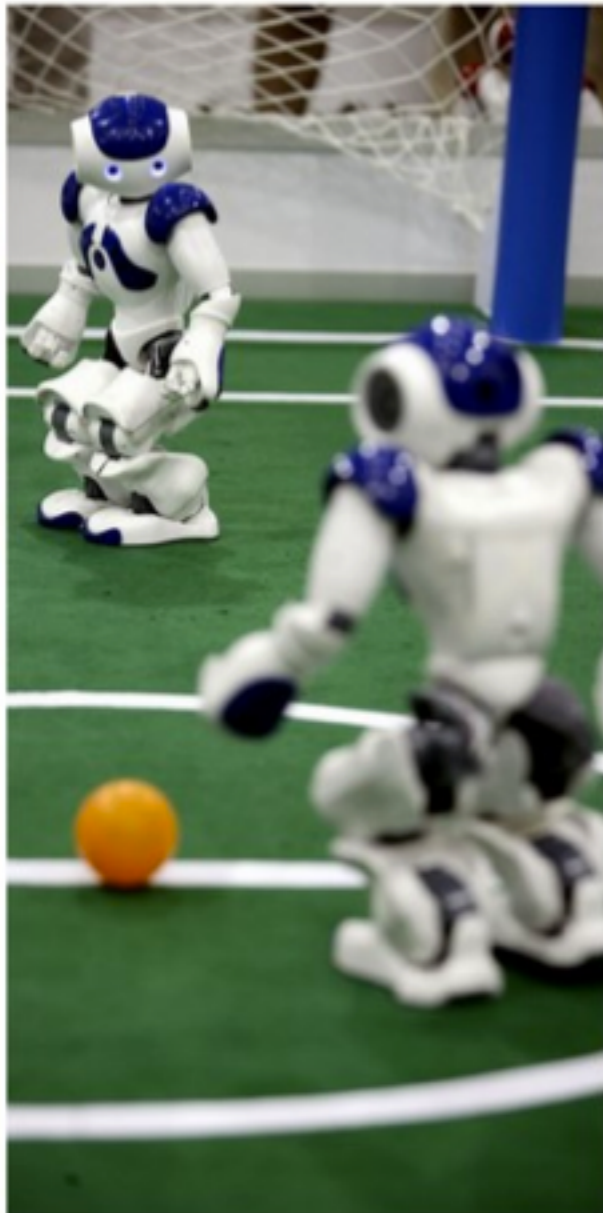
Global introduction

Traditional opposition : *classical IA / connectionism*

|
└ ...but

Global introduction

Traditional opposition : *classical IA / connectionism*

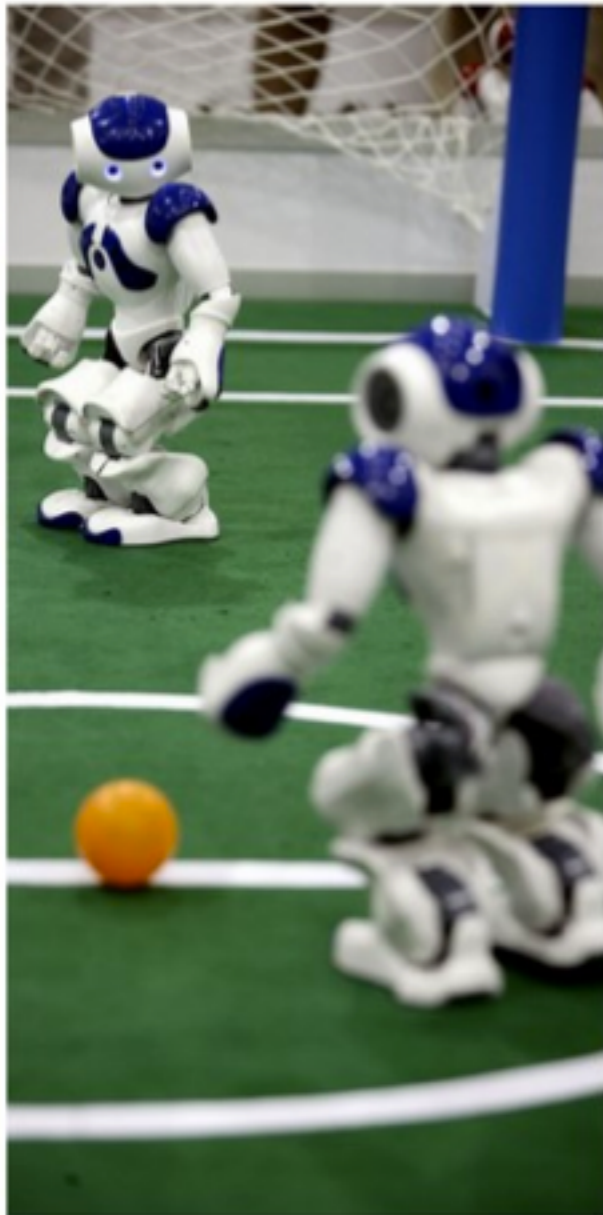


| ...but

Nao humanoid robot is still not able to compete with a 3 years-old child...

Global introduction

Traditional opposition : *classical IA / connectionism*



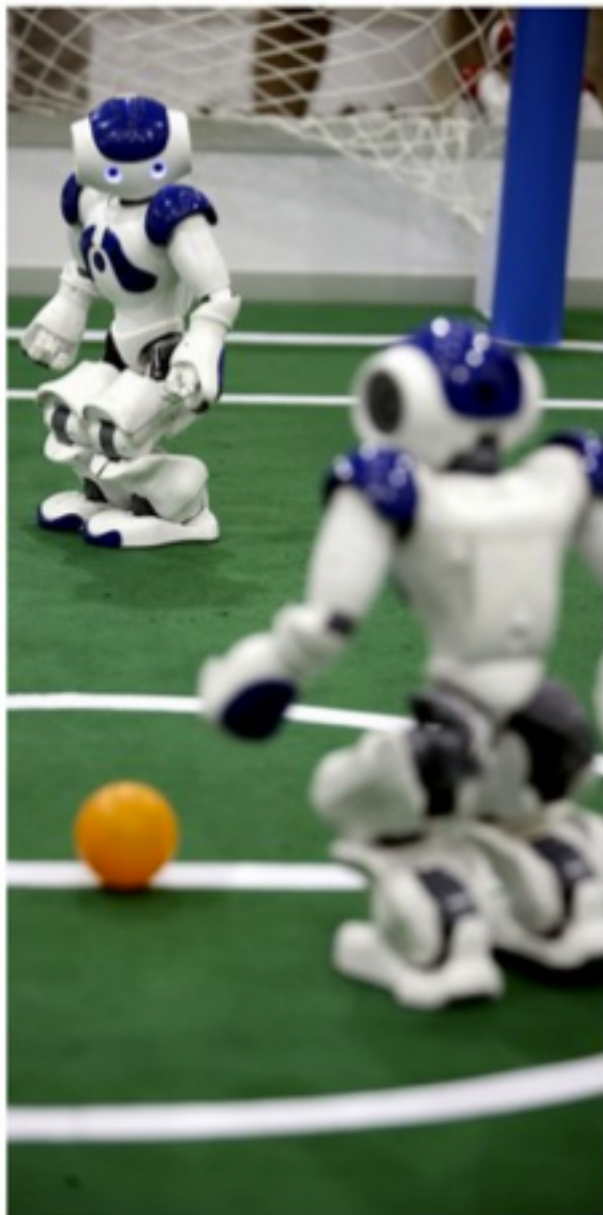
we are able to beat the chess world
champion....

...but not to play elementary ball game
such as football

Why ?

Global introduction

Traditional opposition : *classical IA / connectionism*



perception

action

sensorimotor coordination

memory

fast decision making

adaptation (“on line”)

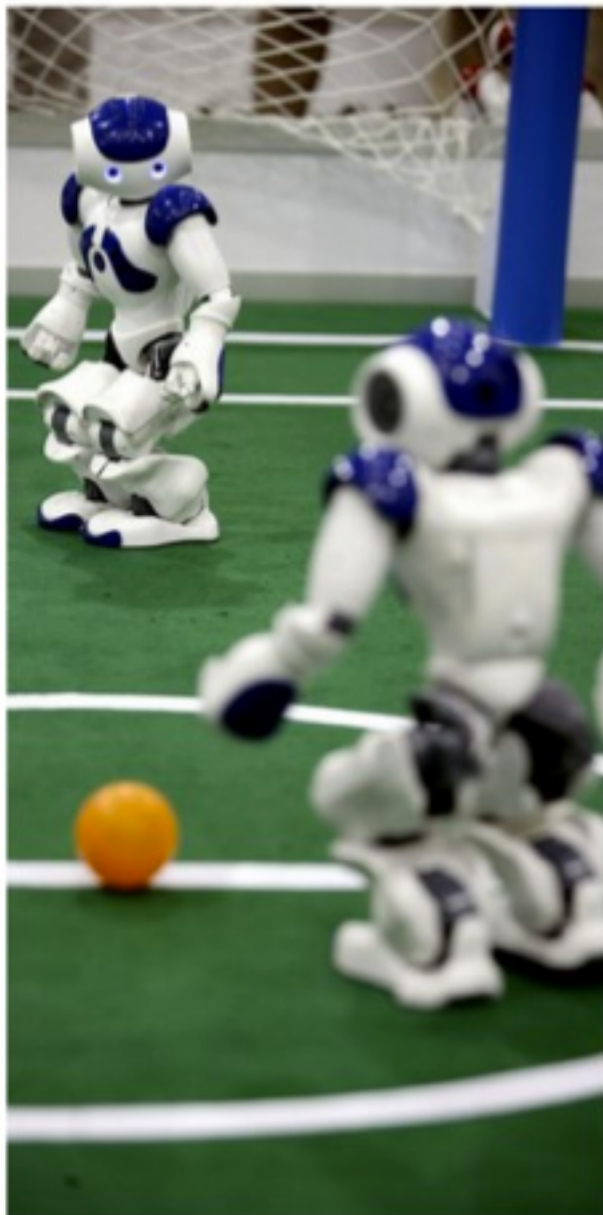
prediction / anticipation

theory of mind ? strategy ?

collective ?

Global introduction

Traditional opposition : *classical IA / connectionism*



perception

action

sensorimotor coordination

memory

fast decision making

adaptation (“on line”)

prediction / anticipation

theory of mind ? strategy ?

collective ?

All simultaneously...

Global introduction

Traditional opposition : *classical IA / connectionism*



perception

action

sensorimotor coordination

memory

fast decision making

adaptation (“on line”)

prediction / anticipation

theory of mind ? strategy ?

collective ?

All simultaneously

...with one brain

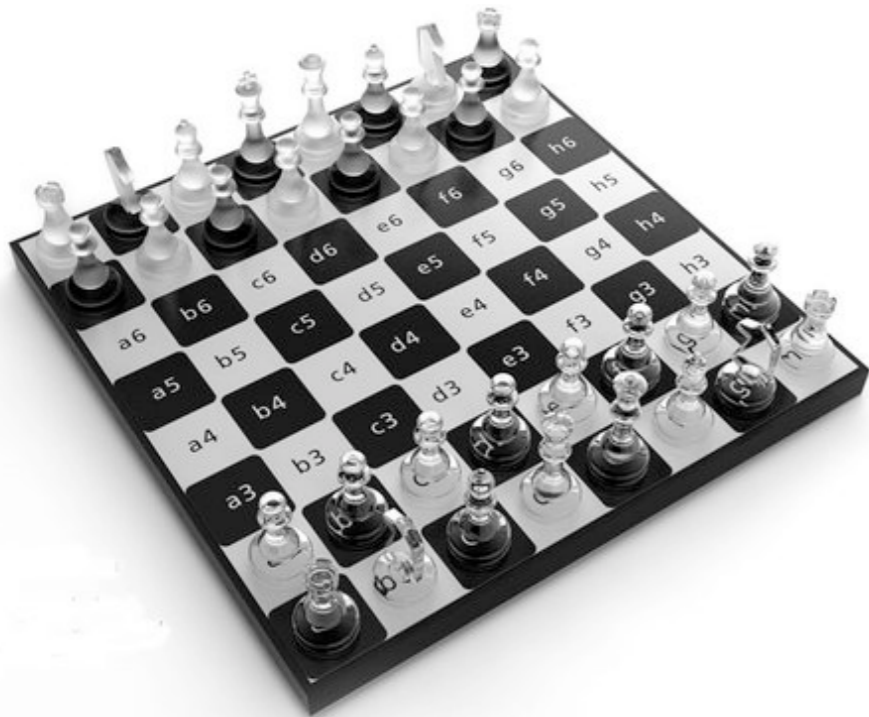
Global introduction

Traditional opposition : *classical IA / connectionism*

- We are successful when the system (a computer) manipulates symbols...
- when the states are well *defined*
- *well identified*
- when the concepts are correctly *framed*
- when the world is easily *segmented*
- *Chess is perfect for that*

Global introduction

Traditional opposition : *classical IA / connectionism*



We are **successful** when the system (a computer) manipulates symbols...

when the states are well *defined*

well identified

when the concepts are correctly *framed, formalised*

when the world is easily *segmented*

Chess is perfect for that

Global introduction

Traditional opposition : *classical IA / connectionism*



- We **fail** when the system is immersed in the real world...
- always changing
- unpredictable
- things, peoples, objects, concepts are undefined
- noisy
- *A nightmare for Intelligent robotics*

A. de Rengervé, J. Hirel, P. Andry, M. Quoy, P. Gaussier -ETIS-Cergy
[BioRob2011]

Global introduction

Traditional opposition : *classical IA / connectionism*

- To bypass that these issues, we need :
 - *to let the system adapt*
 - *to let the system learn*
 - *build its own categories*
 - *to exploit the perception-action dynamics*

Global introduction

Traditional opposition : *classical IA / connectionism*

- To bypass that these issues, we need :
 - *to let the system adapt*
 - *to let the system learn*
 - *build its own categories*
 - *to exploit the perception-action dynamics*

The brain does it well : **connectionism**

Global introduction

One more example : let's try to define an object : a chair

- Let's do it classical :

- *object (legs, 4) and object(back,1) and object (seat,1)*



Global introduction

One more example : let's try to define an object : a chair

- Let's do it classical :

- *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*



Global introduction

One more example : let's try to define an object : a chair

- Let's do it classical :

- *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*



Global introduction

One more example : let's try to define an object : a chair



- Let's do it classical :
- *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*
- *well...*

Global introduction

One more example : let's try to define an object : a chair



- Let's do it classical :
- *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*
- *well...*
- *..or (object, legs, 1) ?*

Global introduction

One more example : let's try to define an object : a chair



- Let's do it classical :
 - *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*
 - *well...*
 - *..or (object, legs, 1) ?*
 - *..or 2 ?*

(one of the world most known chair : Eames rocking chair)

Global introduction

One more example : let's try to define an object : a chair



- Let's do it classical :
 - *(object (legs, 4) or (object (legs,3)) and object(back,1) and object (seat,1)...*
 - *well...*
 - *..or (object, legs, 1) ?*
 - *..or 2 ??*

Global introduction

One more example : let's try to define an object : a chair

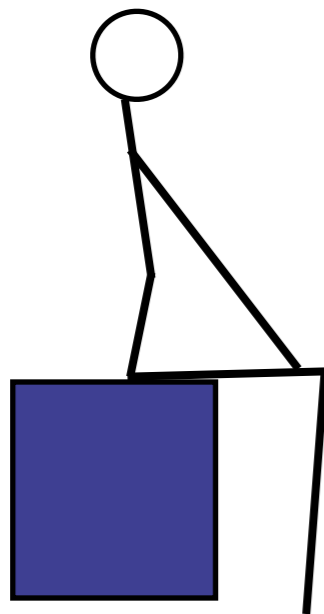


- Let's do it classical :
- ~~(object (legs, 4) or (object (legs, 3)) and object (back, 1) and object (seat, 1)...~~
- ~~well...~~
- ~~..or (object, legs, 1) ?~~
- ~~..or 2 ??~~
-?

Global introduction

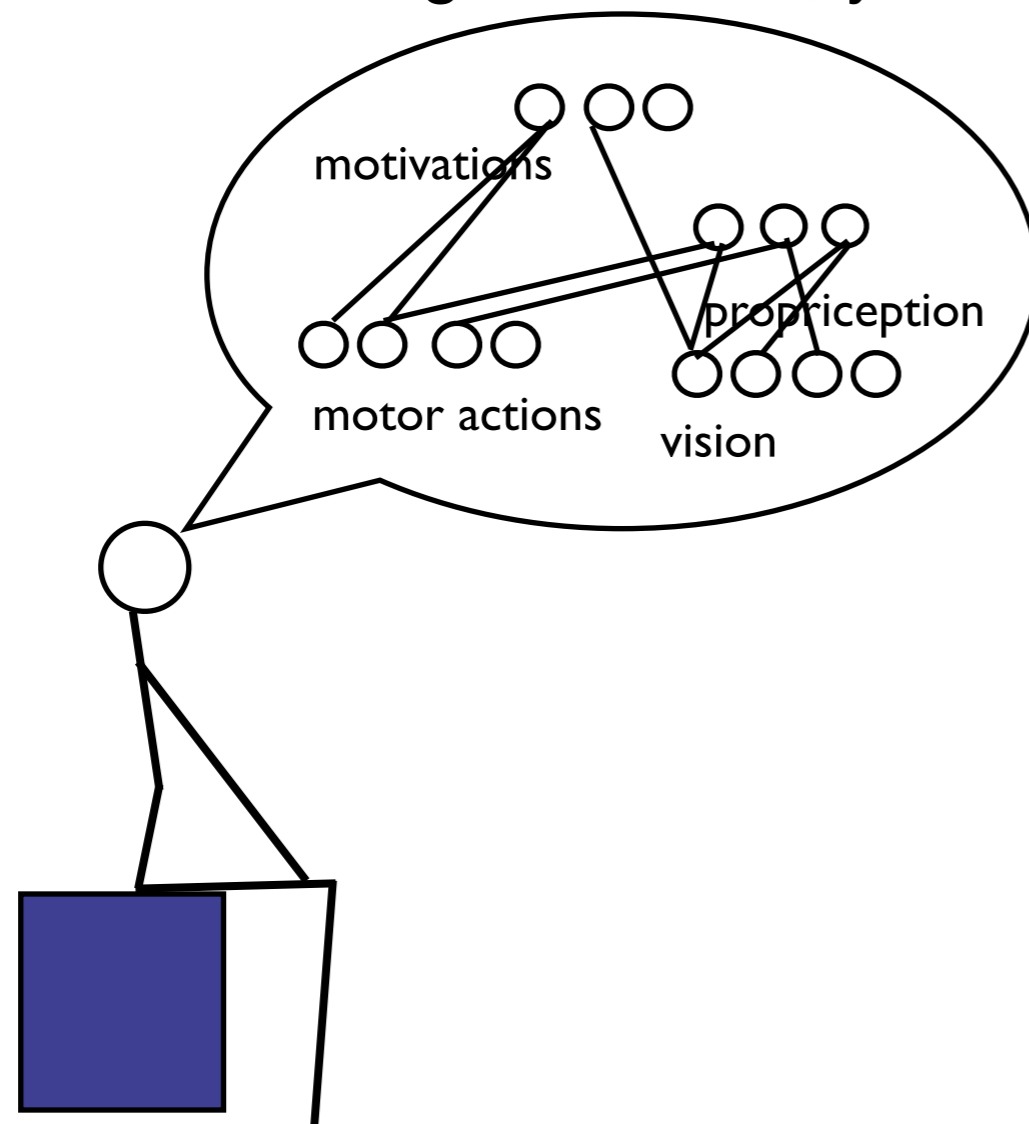
If you want to recognize a chair, you need to :

- **Build** the experience of seating
- to category it
 - *you need to have legs*
 - *you need to need to seat*



Global introduction

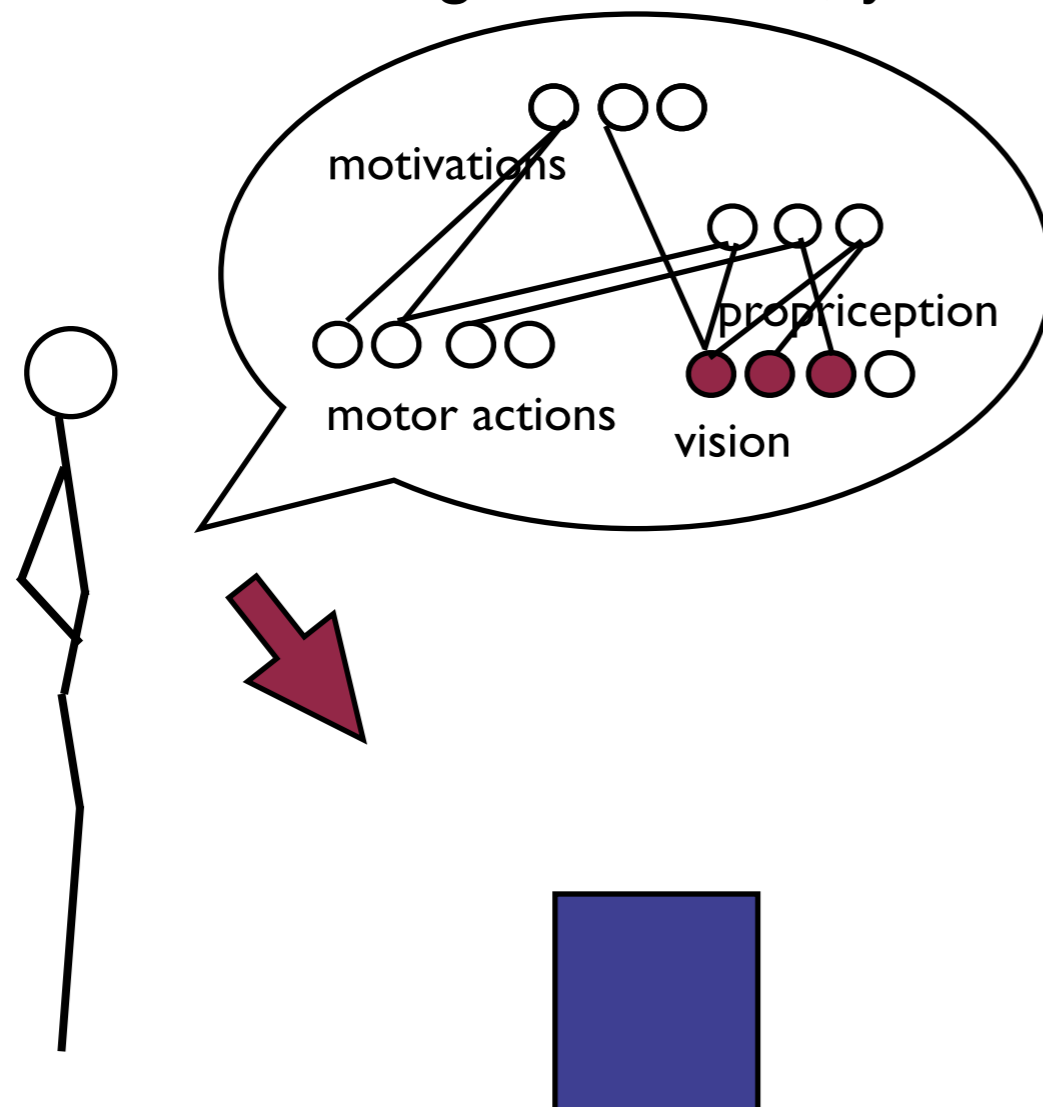
If you want to recognize a chair, you need to :



- **Build** the experience of seating
- to category it
- *you need to have legs*
- *you need to need to seat*
- *merge the perceptions, the motor actions*
- *to associate the objects vision with the whole*

Global introduction

If you want to recognize a chair, you need to :



- **Build** the experience of seating
- to categoryze it
 - *you need to have legs*
 - *you need to need to seat*
 - *merge the perceptions, the motor actions*
 - *to associate the objects vision with the whole*
 - *in order to generalize and recognize*

Global introduction

Connectionism is a part of this perception-action philosophy...

and neural networks is a tool to achieve such adaptive categorization

Overview

Introduction : from biology to the formal neuron

Part I : supervised learning

- perceptron
 - simple rule
 - Widrow Hoff rule
 - limitations
- associative memories
- multi-layer perceptron
- backpropagation

Part II : unsupervised learning

- brain mechanisms
 - competition and cooperation
 - WTA
- Self Organizing Maps
 - Kohonen maps
 - K-means (analogy)
- Let's put it all together
 - ART

From biology...

The brain is the main unit for **information processing**.

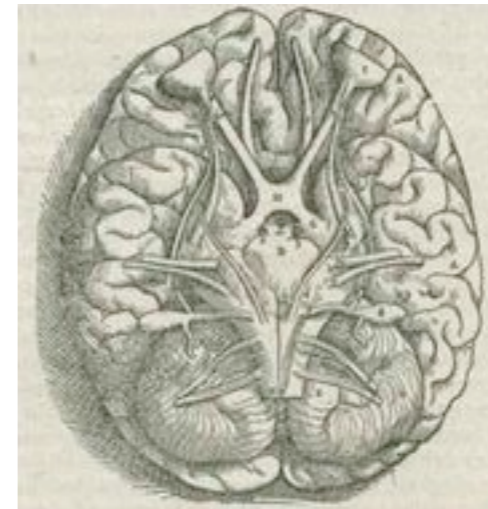
It is composed of 100 billions of nervous cells: the neurons

The neurons are connected in networks in order to :

- monitor
- regulate
- modulate

all the function of the organism.

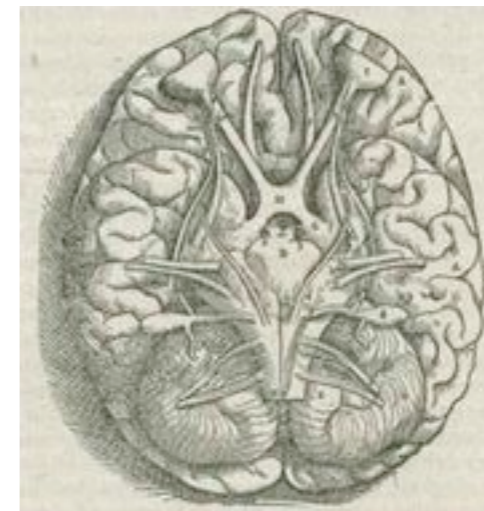
Moreover : the “organ” of intelligence



From biology...

Cognition : all the mental processes involved in the scaffolding of *our* reality, et the basis of reasoning and all the high-level functions.

- perception
- memories
- building categories
- learning
- inhibition
- action selection
- *representations*



Information processing : input -> evaluation->decision->action

From biology...

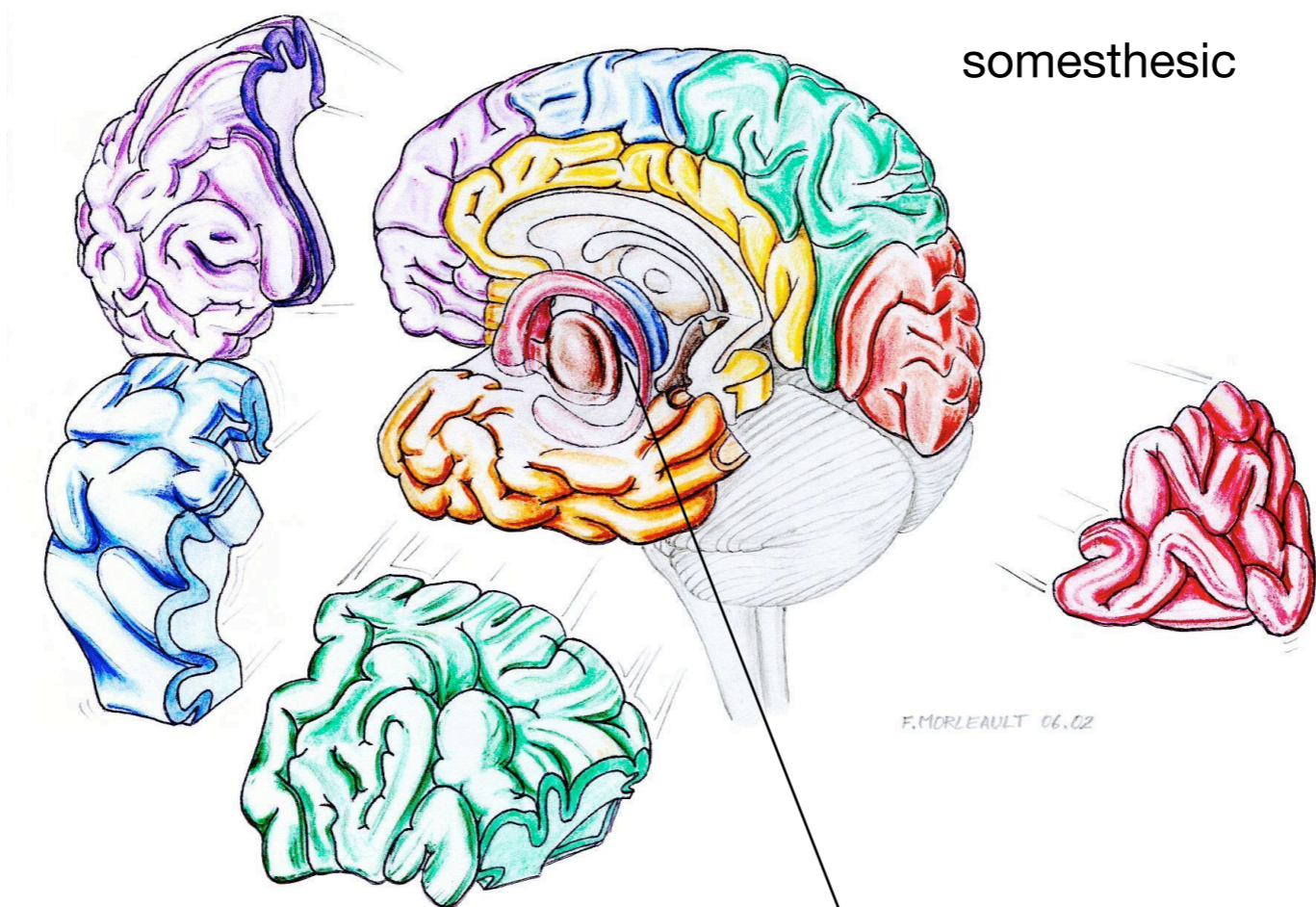
cortical areas

PFC

somesthetic

visual areas

motor



F. MORLEAULT 06.02

hippocampus

basal ganglia

amygdalia

From biology...

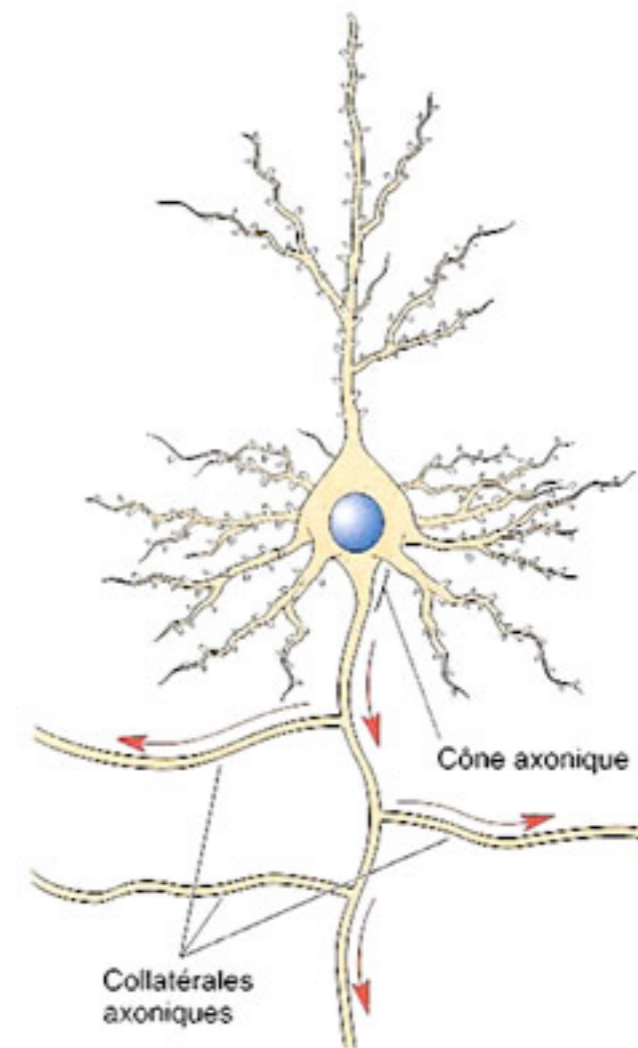
Neuron : the main unit for information processing

- Dendrit
- Axon
- Soma
- Synapses

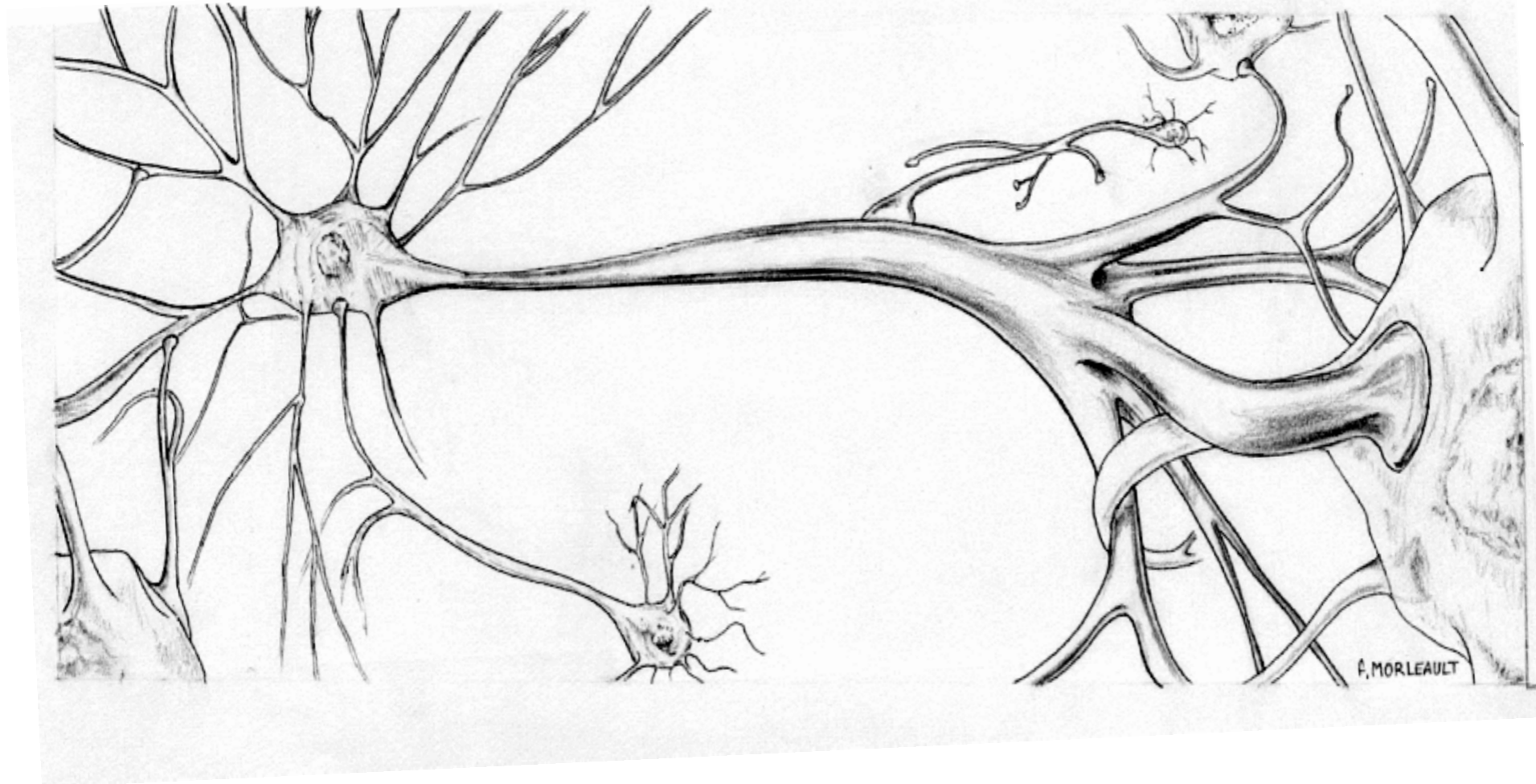
Electric information propagation

uni-directional

from one unit to the other



From biology...



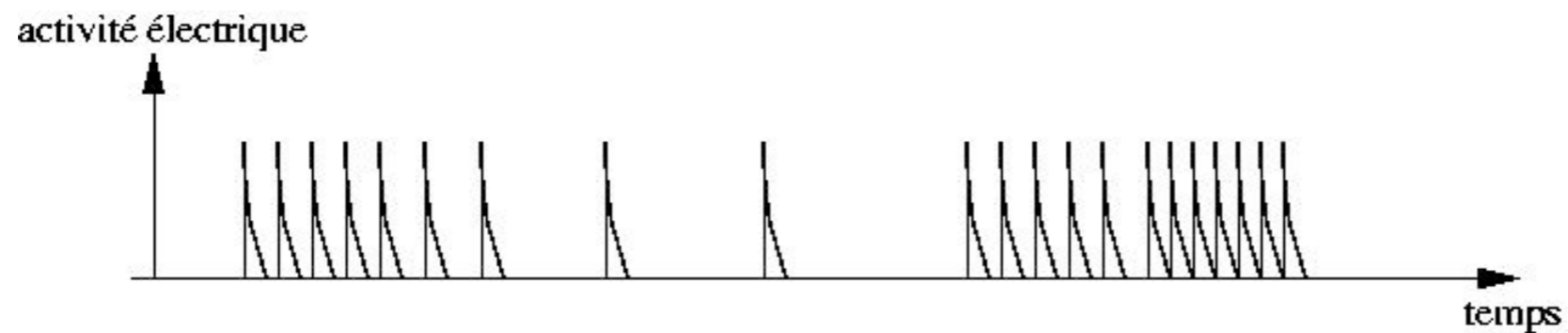
A single neuron can be 1m long

A single neuron can have up to 10 000 connections with other ones

(average of 1000 connections per neurons)

From biology...

But very slow

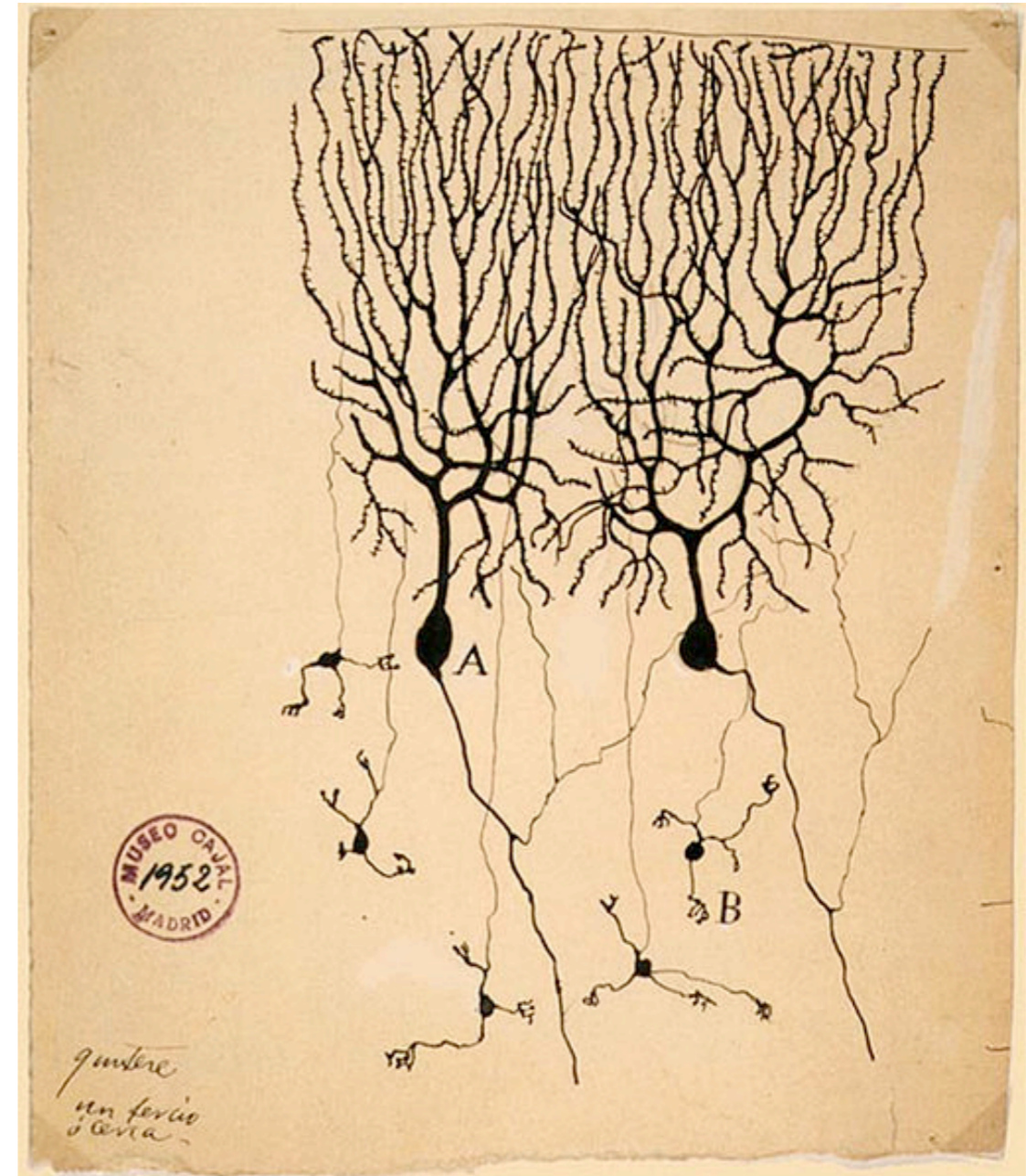


- If you measure the speed of information transmission, you get a rate of 300HZ
- 300HZ = frequency of the action potential : Spike train
- very slow, when compared to modern computer buses (circa 1GHZ)

From biology...

But...

- Multiple connections
- massive parallelism : continuous flow of information that can be processed by multiple areas at the same time (asynchronous calculation - no main clock-)
- Adaptation : the synapse can adapt to modify the information intensity transmitted by a neuron to the other: learning



From biology...

comparison brain/ modern computer

Von Neumann Architecture

Brain

Computation and memory:
separated and centralized

Computation and memory
integrated and distributed

program = sequence
of instructions

calculus = multiple constraints
satisfaction

execution of one process
at a time

permanent combination of
multiples different information sources

1 to 8 very fast processors

hundreds of billions of slow connected units

t

...to information processing...

...to information processing...

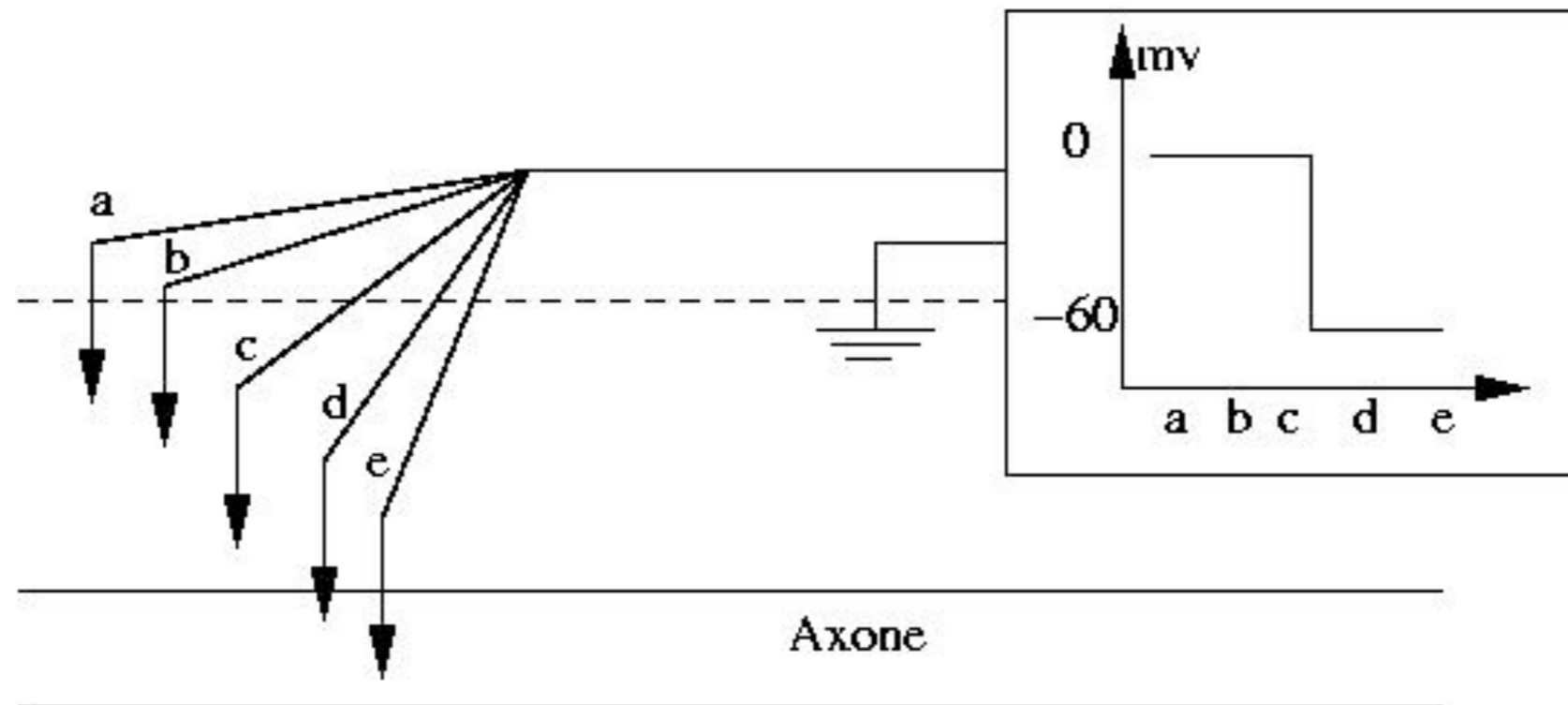
At the basis any behavior, is information processing

2 different kinds :

- Chemical, long term (LT) “message sending” : **hormones**. chemical and not restricted to a given receptor, diffusion in all the body, transported by the blood. Slow process.
- Electro-chemical, short term, **potential trains** sent by neurons. “Fast”
 - *One neuron produces electrical potential changes, and the changes (the potential variation) are transmitted all along the membranes : emission of electrical signals*
 - *The communication int the inter-neural space (between the synapse and the dendrite) is made by production of chemical substances, the neurotransmitters*

...to information processing...

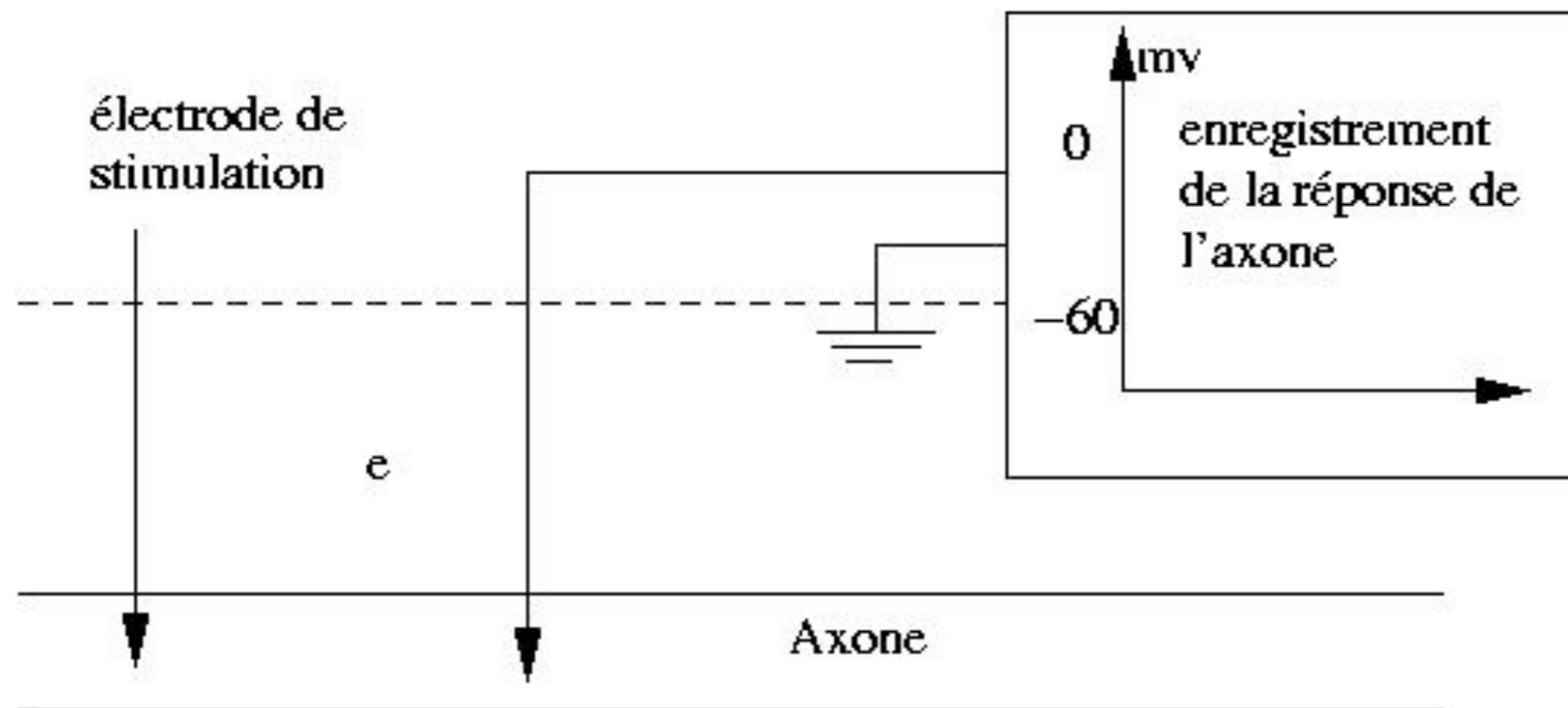
- suppose you have a multimeter to measure the value of the electrical potential inside and outside the axon membrane



- **default resting potential** (of the neuron): -66 mv : the inside of the axone is negatively charged (difference between a,b,c, and d, e)

...to information processing...

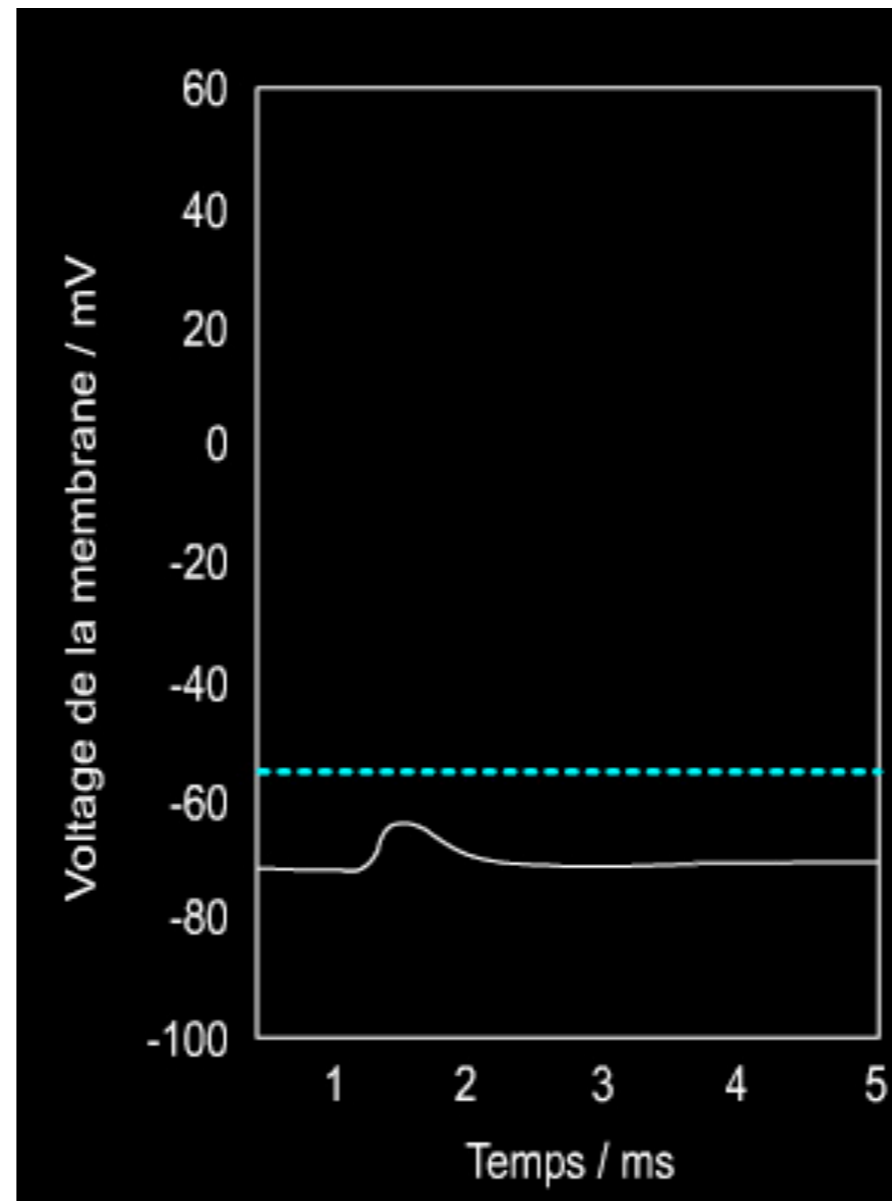
- Suppose you plug an electrode and send a given voltage in the membrane of the axone...



- ...and you record the axon's membrane reaction at a given point

...to information processing...

- Stimuli under 10 or 15mV : no significative response from the membrane



...to information processing...

- Depolarization > 10 or 15 mv : strong reaction called **action potential** .

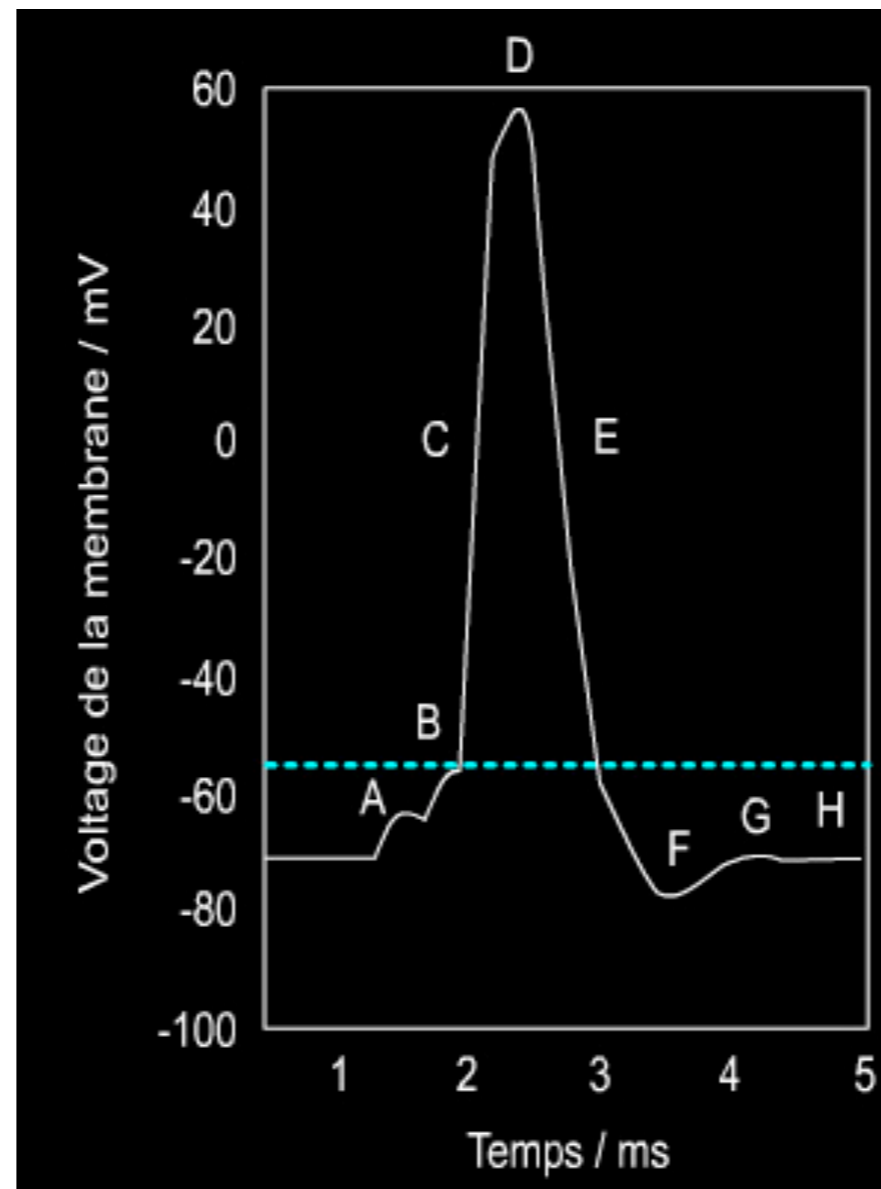
spike reaching +60mv (C-D)

overshoot

whatever the stimulation is
(>15 mn), the spike is the
same

stereotyped

followed by a short
undershoot (F-G) during no
new stimulation is possible:
explain the 300Hz limit



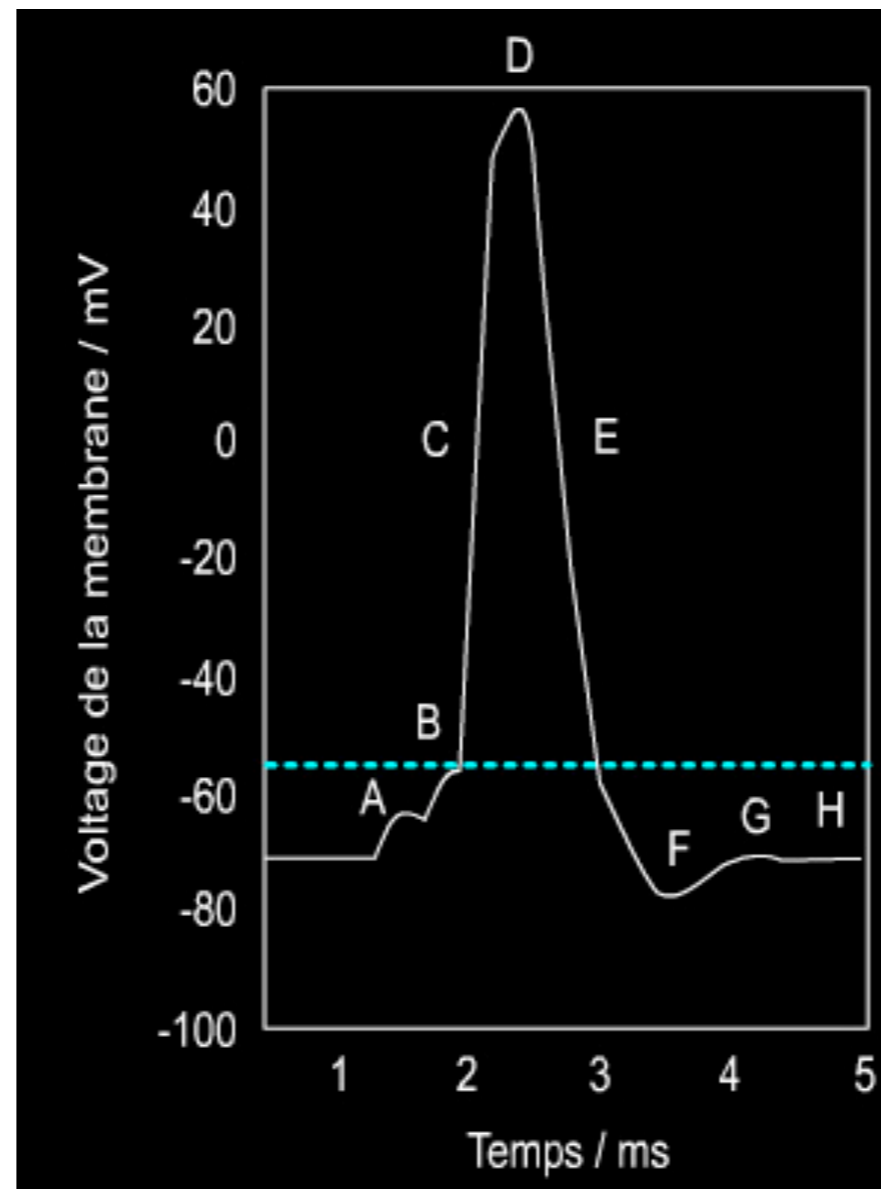
...to information processing...

- Depolarization > 10 or 15 mV : strong reaction called **action potential** .

The spike will propagate all along the axon with conservation of shape and amplitude

traveling electrical wave

30 m/s



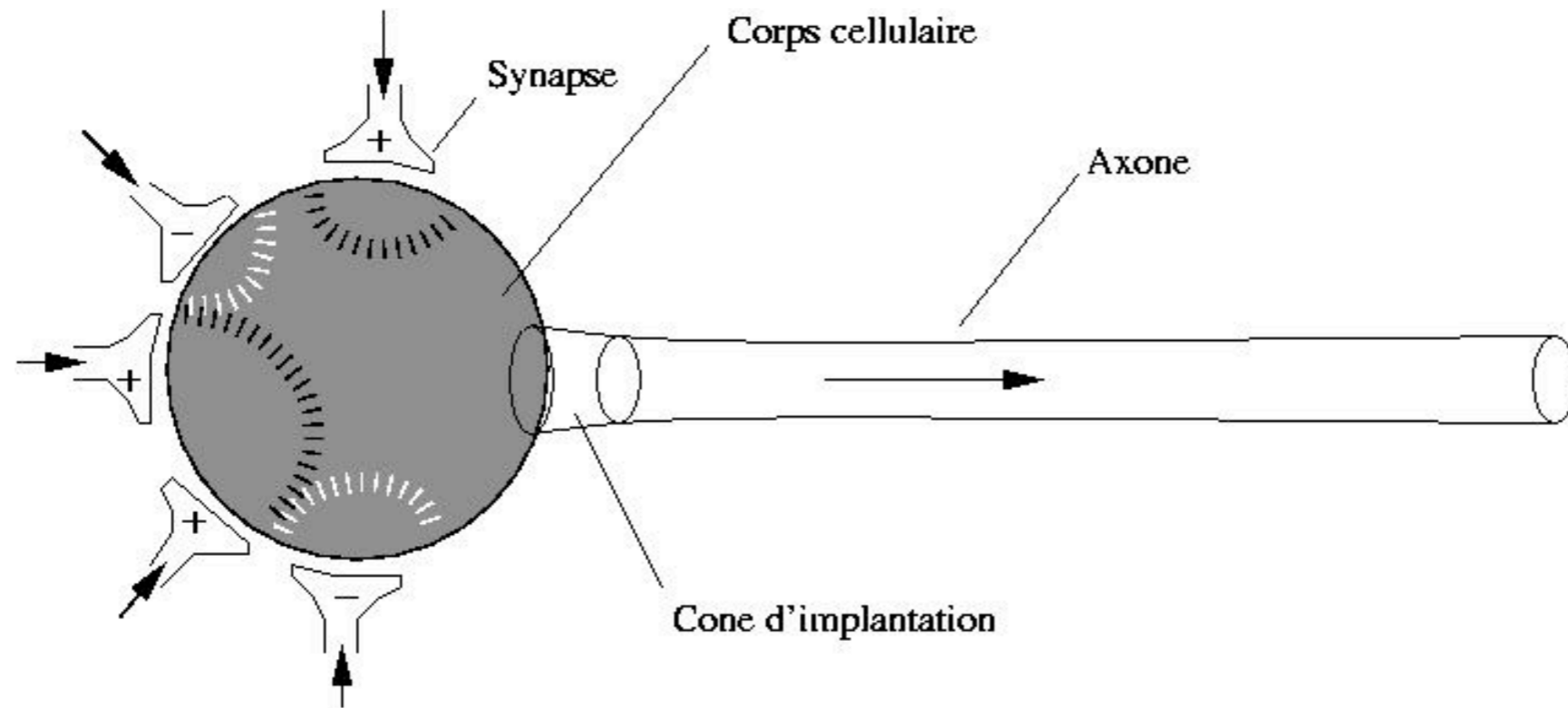
...to information processing...

- If the intensity of the trigger has no effect on the shape of the peak, it will nevertheless influence the amount of peaks generated.
- the stronger the stimulus is, the greater is the number of peaks (frequency max : 300hz)
- The axon is providing a frequency code for the intensity of the stimulus
- potential trains
- naturally, the trigger of the pikes potential will depend on the activity of the neuron's nucleus : the soma

...to information processing...

- The neuron's nucleus will act as an *adder*, summing the incoming potentials (arriving potentials from the dendrites).
- it is called the soma (soma = sum in latin)
- temporal en spatial sum according to :
 - the synapses positions on the dendrite
 - the frequency of the peaks
- The soma will trigger the axon if a given **threshold** is overshoot
- non-linearity : thresholds + saturation

...to information processing...



...to a simple formal neuron

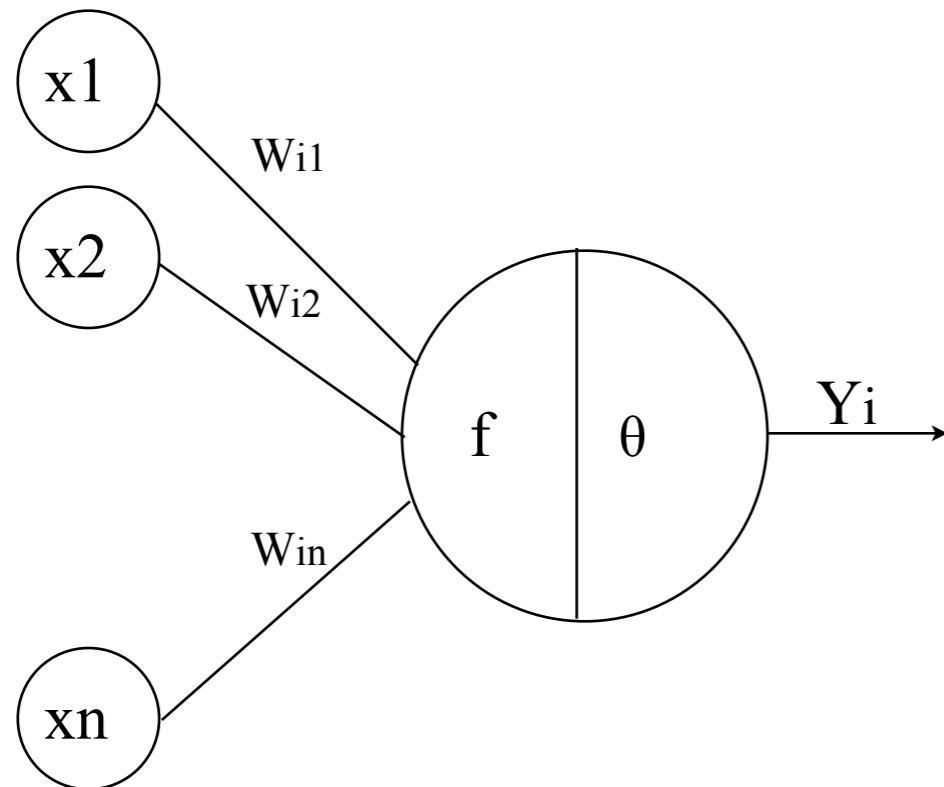
Formal neuron i

- main processing unit
- combined in networks
- calculate the incoming potentials, the $X_i [-1,1]$:
- summation in an internal potential $P_{oti} [-1,1]$
- Threshold $\theta [-1,1]$
- deliver one output $Y_i [-1,1]$
- For our introduction we won't use the frequency coding : just a value expressing the mean number of emitted potential
- McCulloch & Pitts model [McCulloch & Pitts48]

See the works of Thorpe & Al. for models of neurons coding the frequency of the action potential : spiking neurons

...to a simple formal neuron

Formal neuron i



...to a simple formal neuron

Formal neuron i

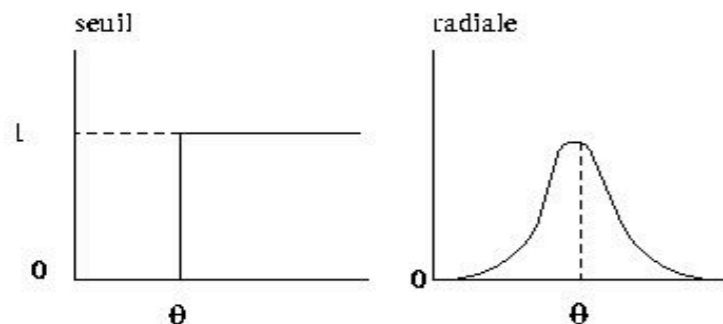
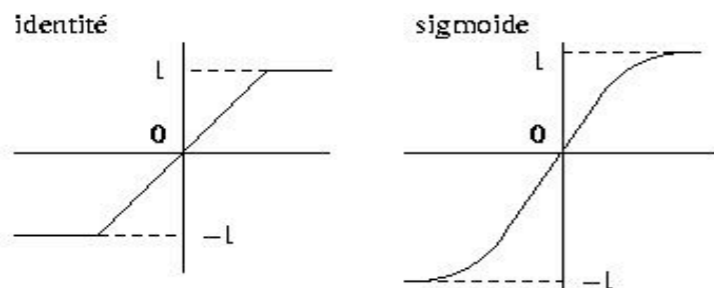
- calculate the incoming potentials, the X_i $[-1,1]$:
- summation in an internal potential P_{oti} $[-1,1]$
- Threshold $[-1,1]$
- deliver one output Y_i $[-1,1]$
- we have seen that the information transmission between two neurons is made by emission of neurotransmitters.
- Coefficient W $[-1,1]$ of the incoming potential

...to a simple formal neuron

Formal neuron i

- Internal potential of neuron i : $pot_i = \sum w_{ij}.x_j$
- activation of output : $S_i = f(pot_i)$
- with f , the transfer function according to the model

For example :



identity function

$$f(x) = \begin{cases} 0 & \text{si } x < \alpha \\ 1 & \text{si } x > \beta \\ x & \text{si } x \in [\alpha, \beta] \end{cases}$$

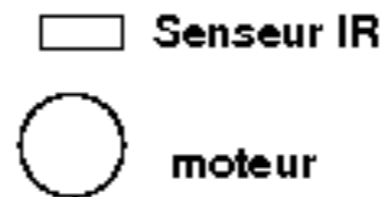
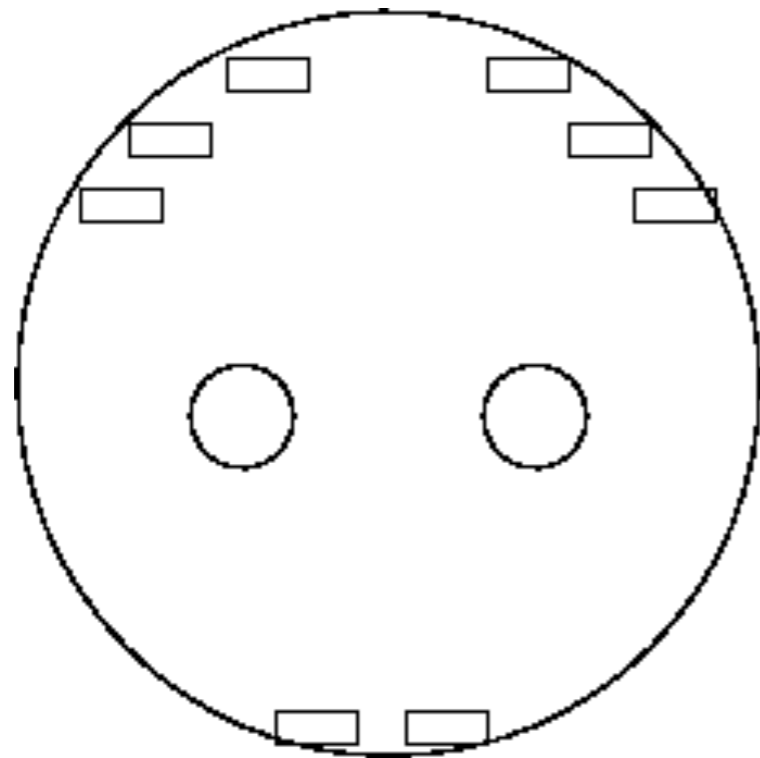
with $\theta = \beta - \alpha$

...to a simple formal neuron

Topology of the network ?

example : vehicles

- Braitenberg's vehicles [Braitenberg80]
- You have to build a roomba robot that avoid obstacles.



- Sensors [0,1024] saturation when contact to obstacle
- motors : speed control [-1,1]

example : vehicles

- Classical analysis:

```
if obstacle_detected == left
```

```
    turn (angle_right)
```

```
if obstacle_detected == right
```

```
    turn (angle_left)
```

```
if obstacle_detected == right&left
```

```
    ...
```

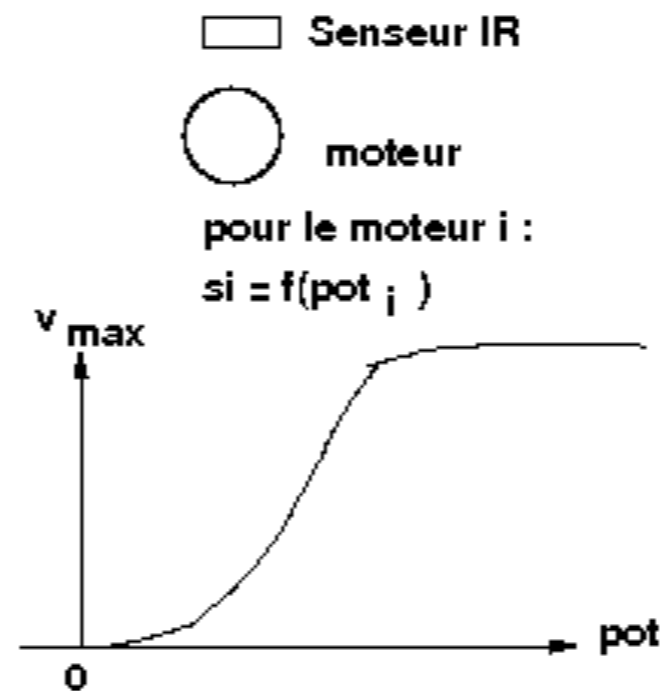
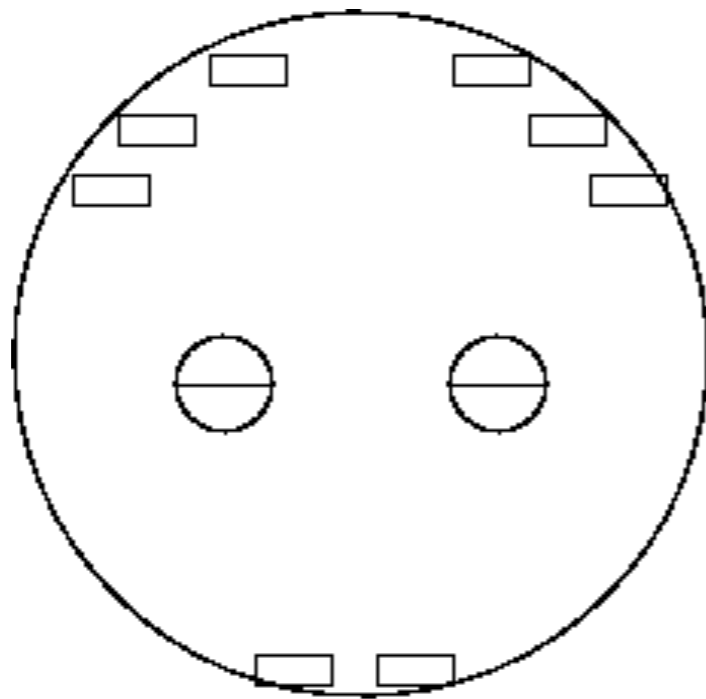
```
else ...
```

obstacle is an important symbol

Difficult to recognize, frame, (remember the chair...)

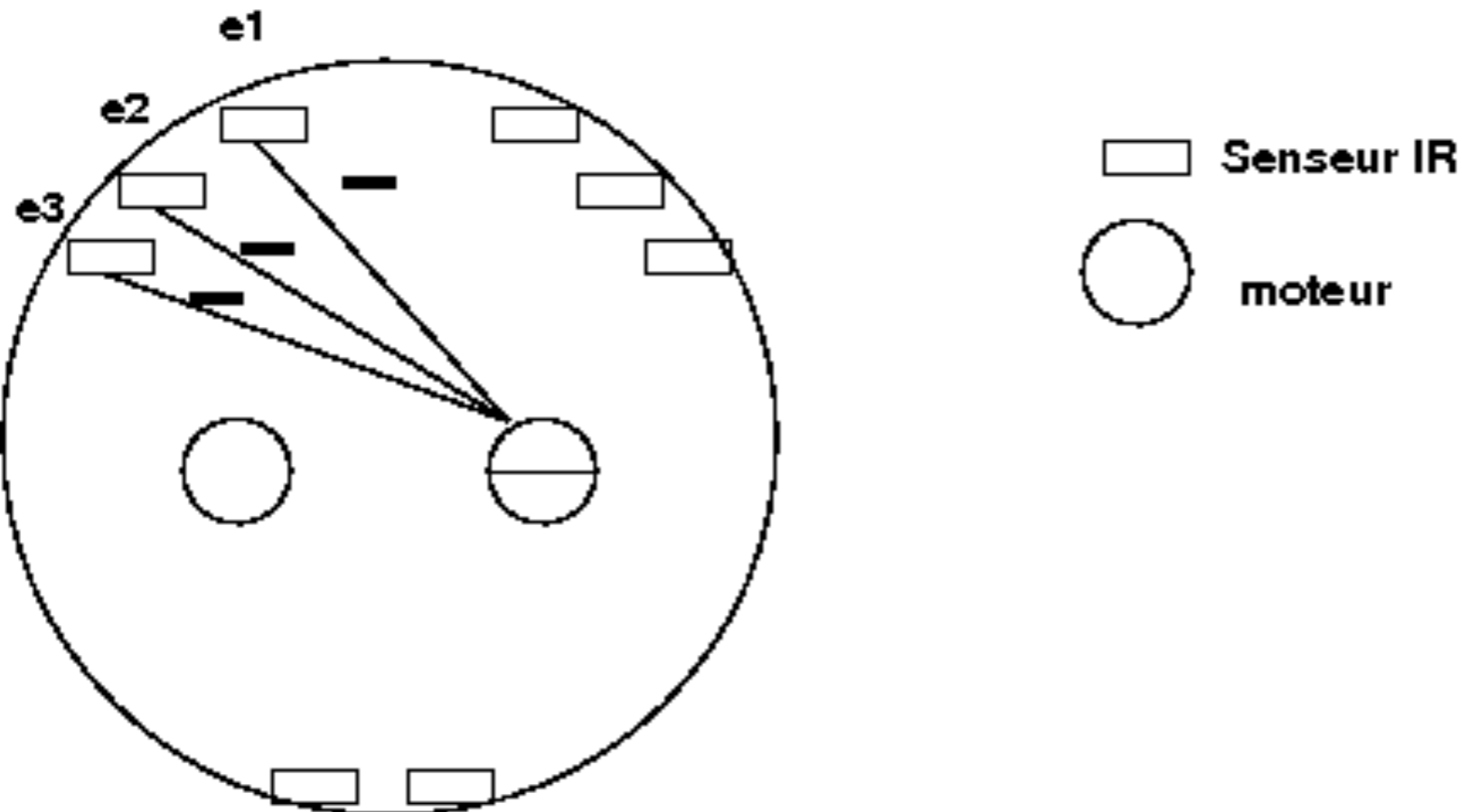
example : vehicles

- normalize sensor activities $[0,1024] \rightarrow [0,1]$



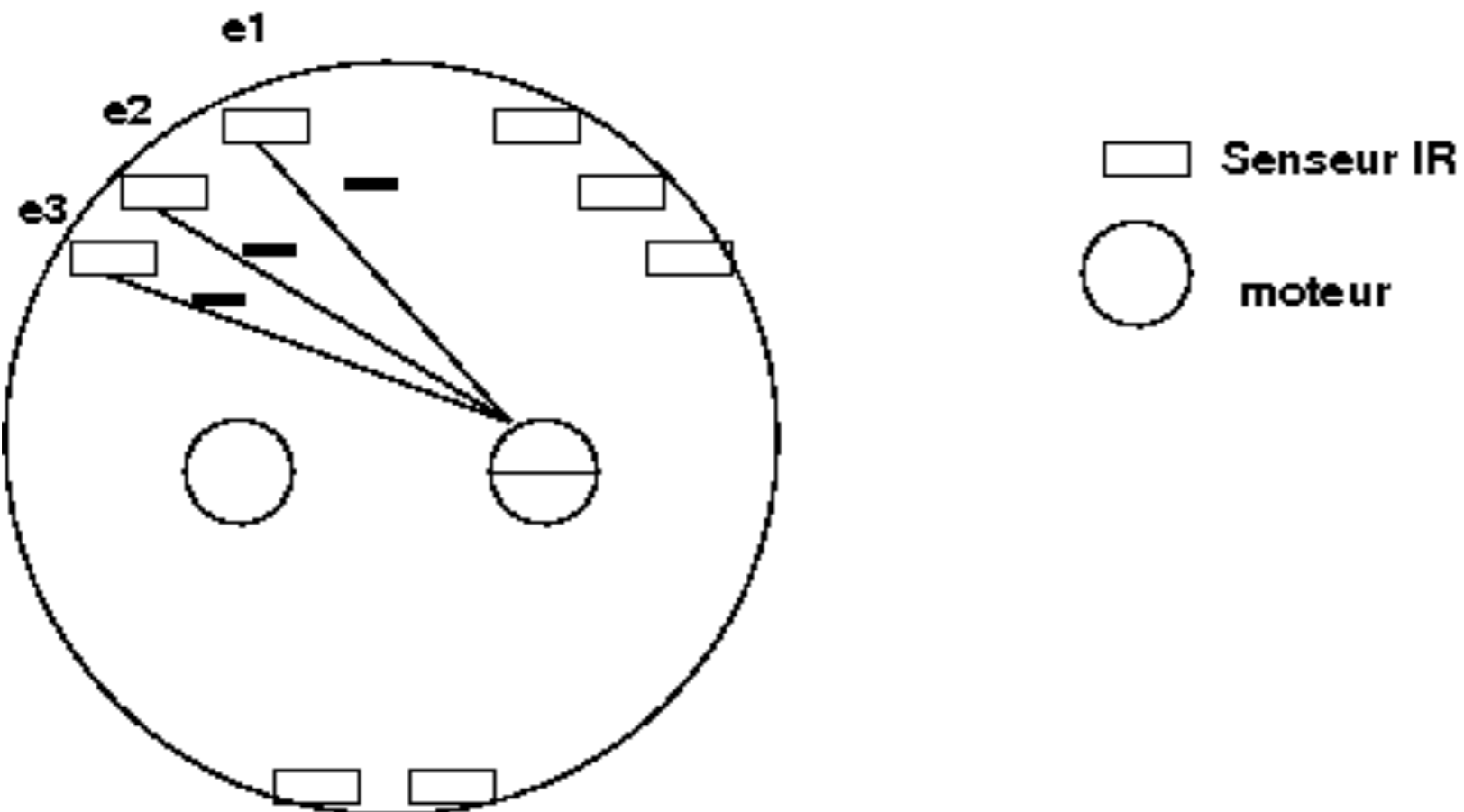
example : vehicles

- build connection (weight 1/3 to normalize) to the opposite motor.
- suppose the motor is activated by a neuron
- use identity transfer function



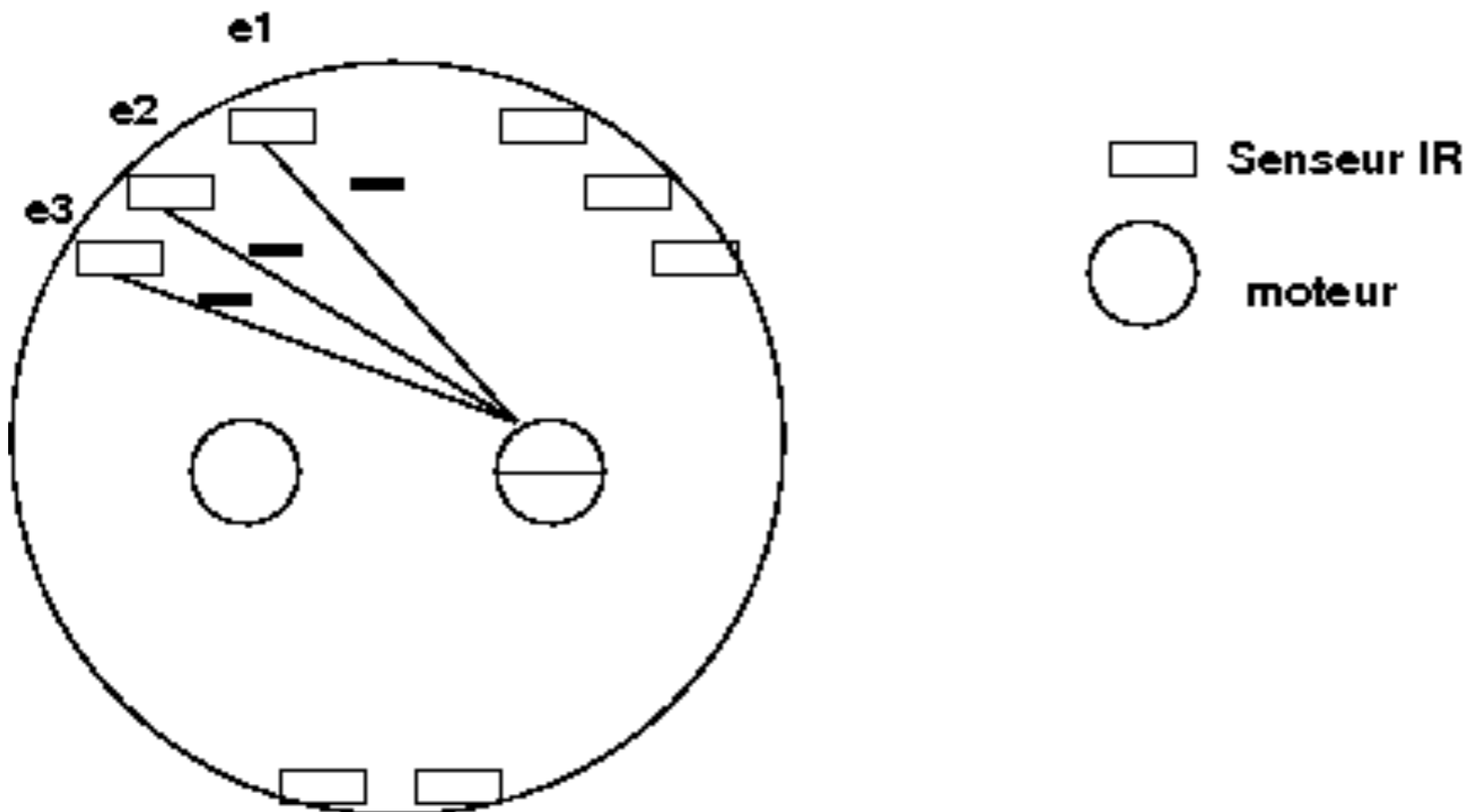
example : vehicles

- sensor saturation induce a diminution of the neuron potential : the opposite motor runs slower, the robot turn



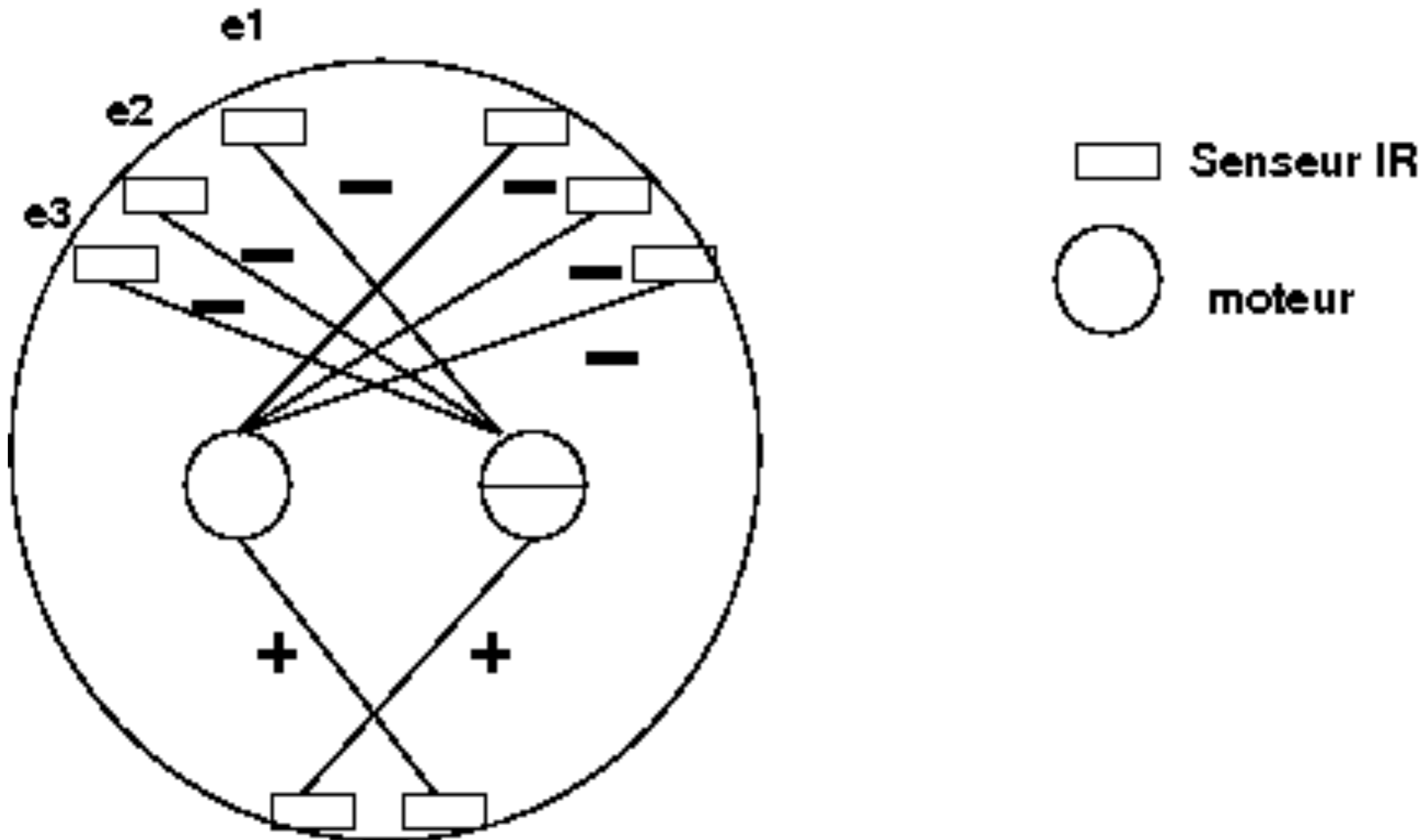
example : vehicles

- Code : only simple operations: $pot(i) = e1*w1+e2*w2+e3*w3$



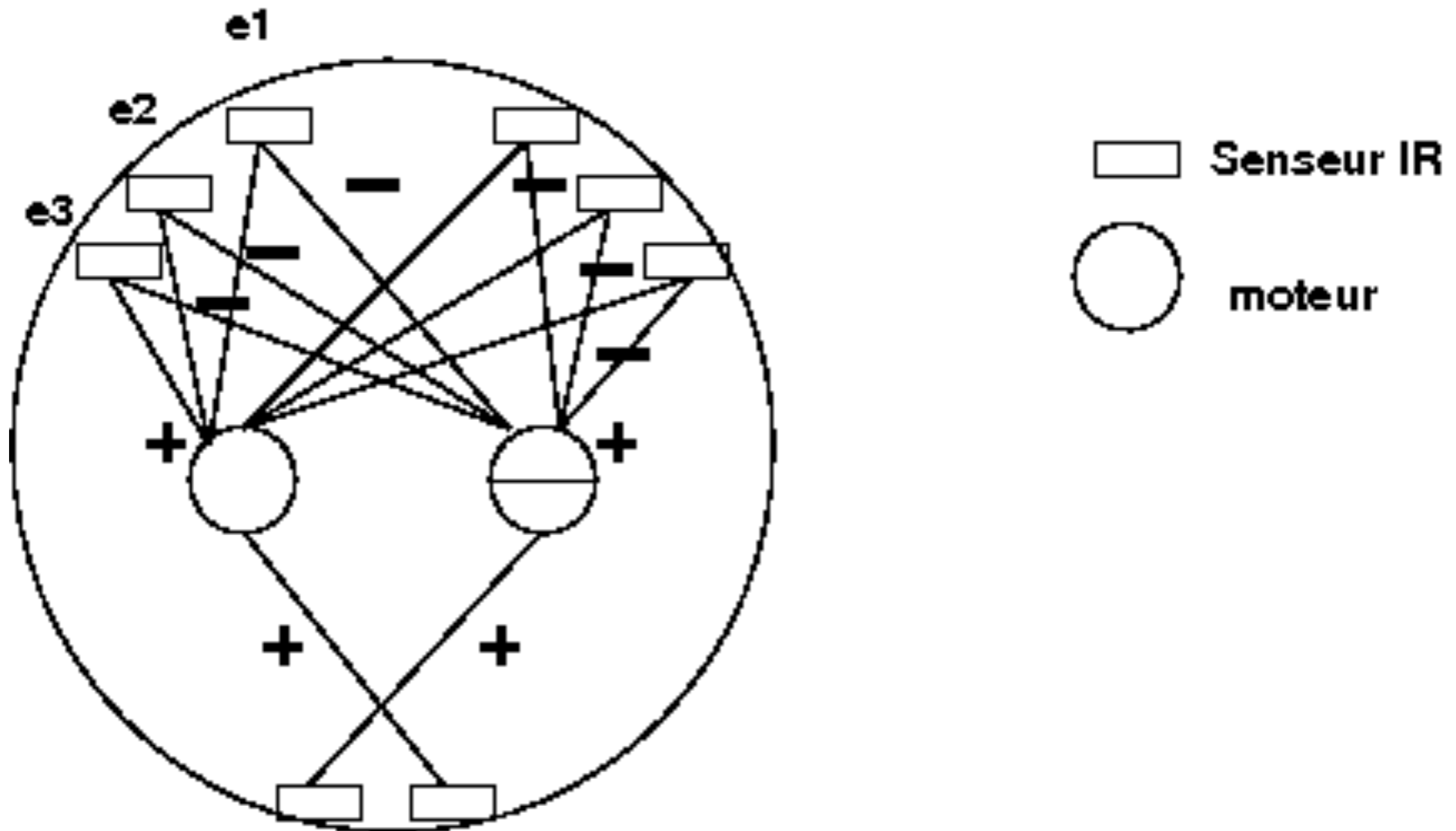
example : vehicles

- Complete architecture:



example : vehicles

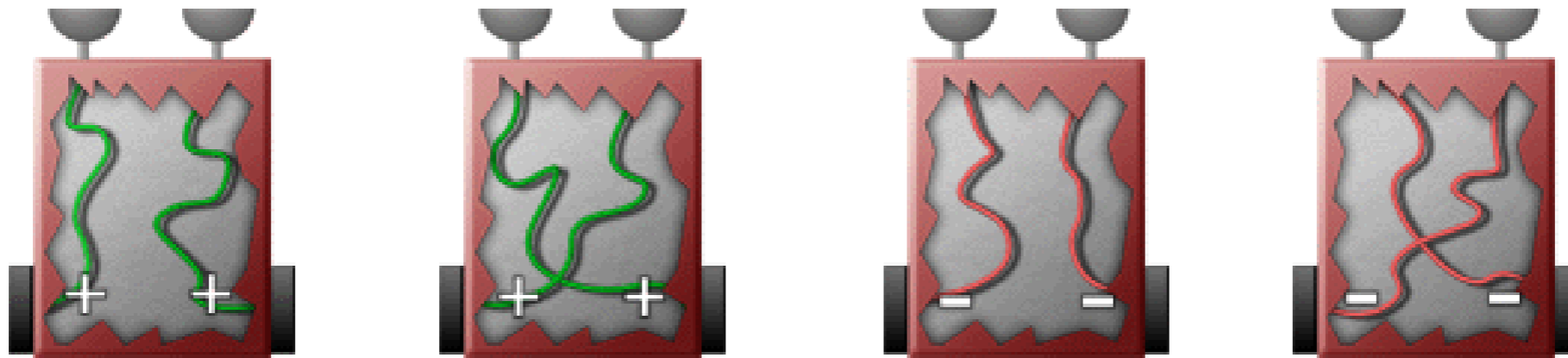
- enhancement :



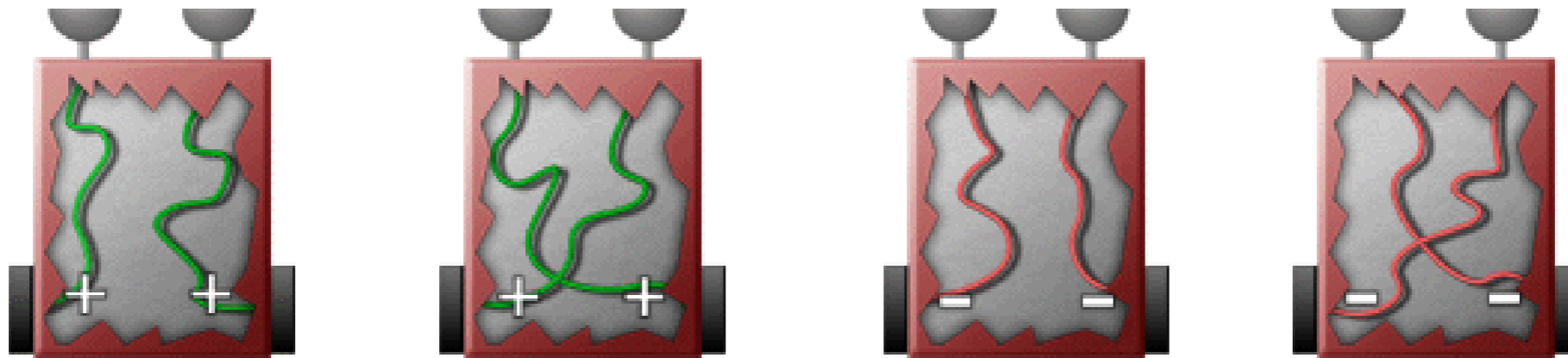
example : vehicles

- only 2 neurons
- topology matters
- No “if”, just a vector product : light calculation.
- No notion of obstacle
- behaviors can be stacked with more sensors :
 - obstacle avoidance and phototaxis =
 - stacking circuits

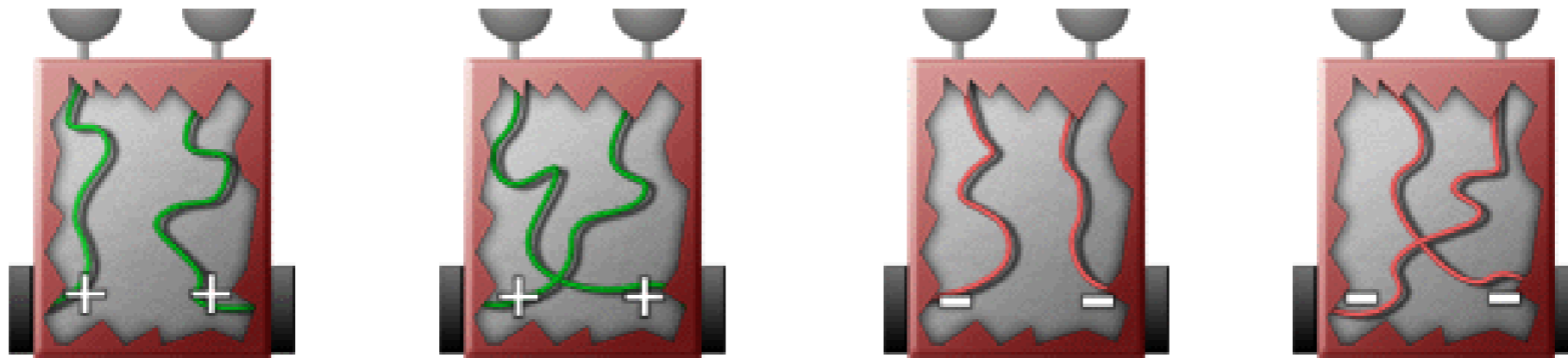
example : vehicles



example : vehicles

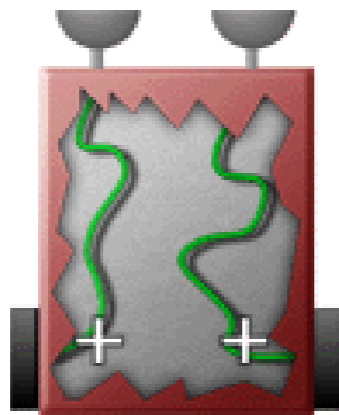


example : vehicles

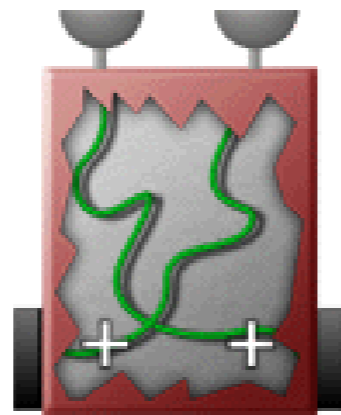


fear

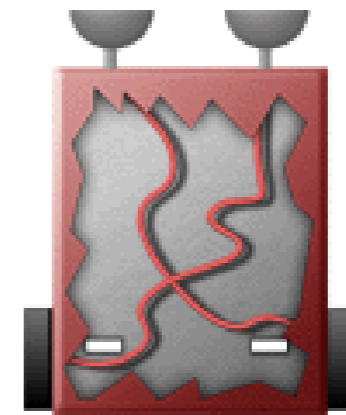
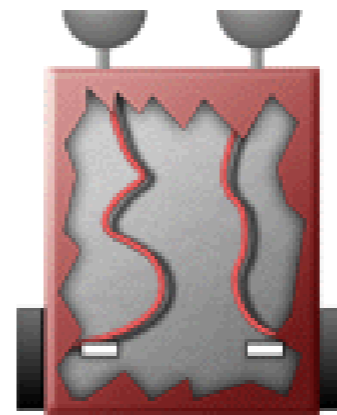
example : vehicles



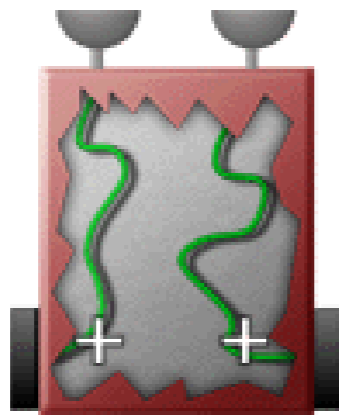
fear



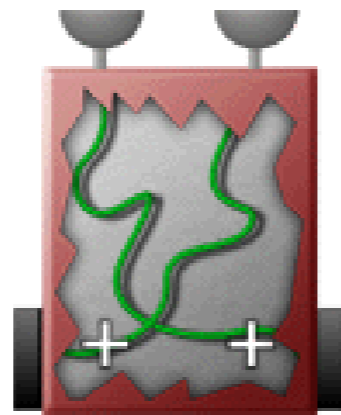
angry



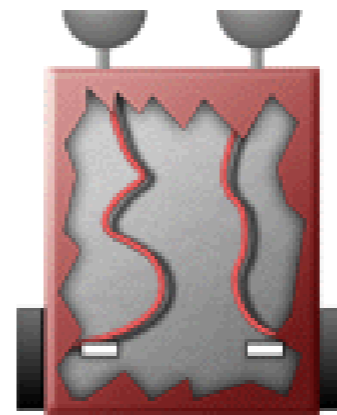
example : vehicles



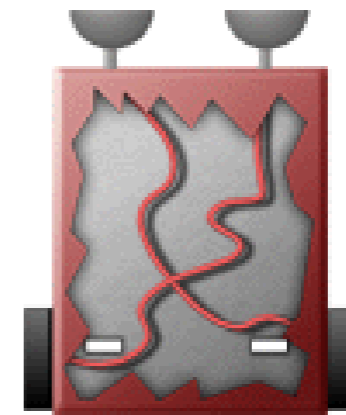
fear



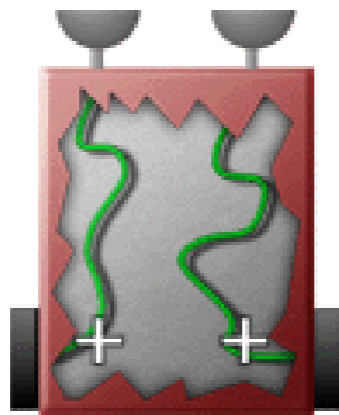
angry



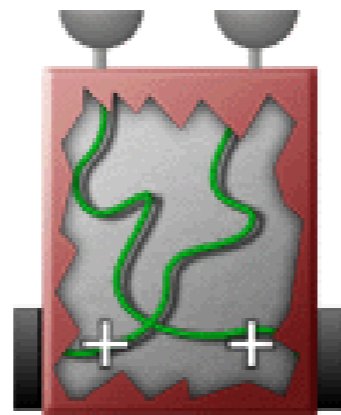
love



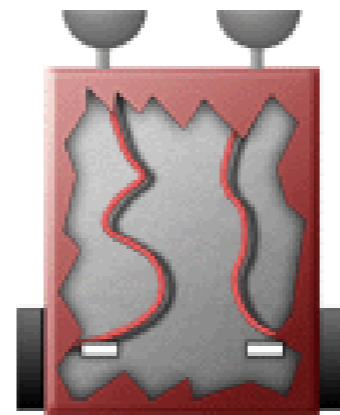
example : vehicles



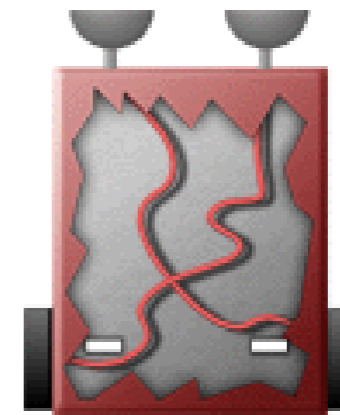
fear



angry

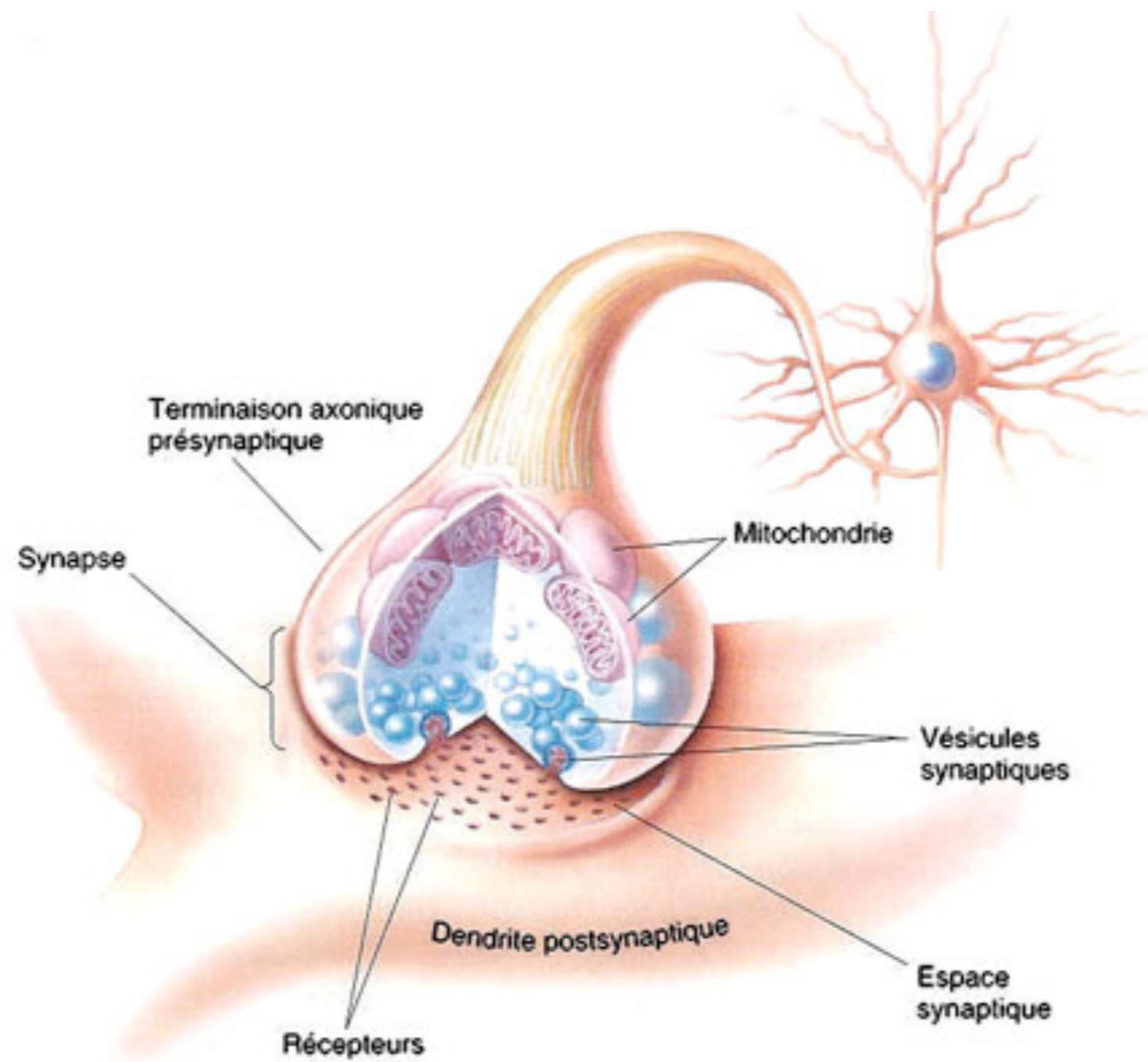


love



exploration

Learning



Learning

- nervous circuits : also crucial for memory (no dedicated centralised structure).
- Observation : an informal experience is correlated to measurable neuro-chemical and neuro-anatomical modifications in the brain
- Physiological modification of synapses:
 - Pré-synaptic : increase release of neurotransmitters
 - Post-synaptic : increase sensitiveness of the receptive membrane
- Structural modifications :
 - the frequent “use” of a circuit induce an increase of the synaptic contacts

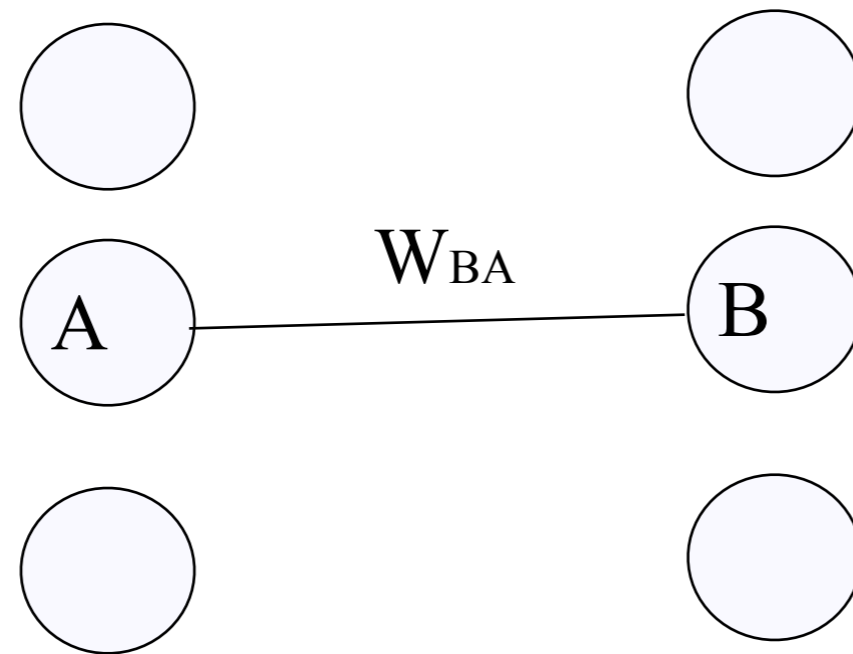
Learning

Hebb Rule [Hebb 49] :

“when cell A excites by its axon cell B and, in a repeated and persistent manner, it triggers impulsion of B, a process of metabolic change happens in one or two of both cells, driving to an significant increase of the efficiency of A to generate an impulsion in B, among the other cells”

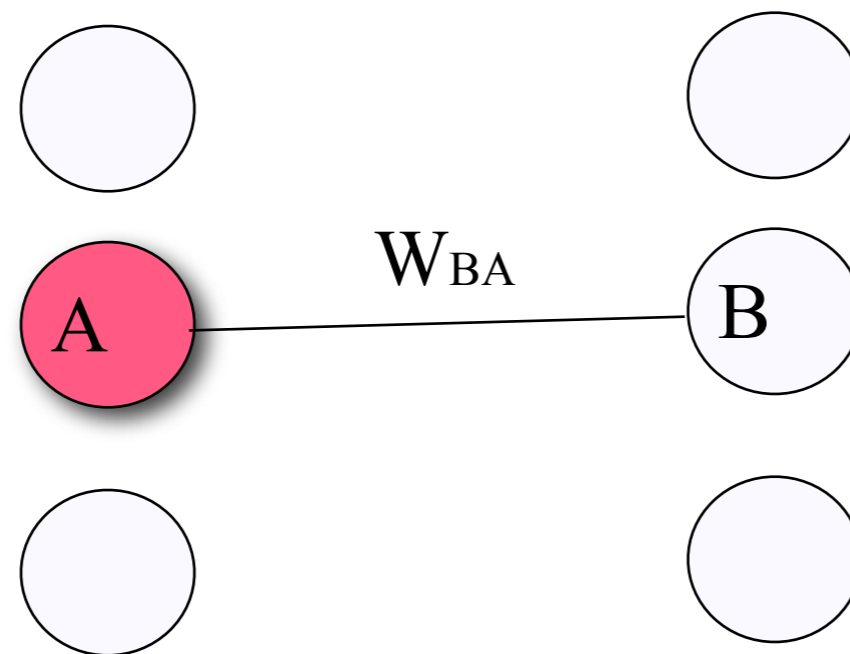
Learning

Hebb Rule [Hebb 49] :



Learning

Hebb Rule [Hebb 49] :

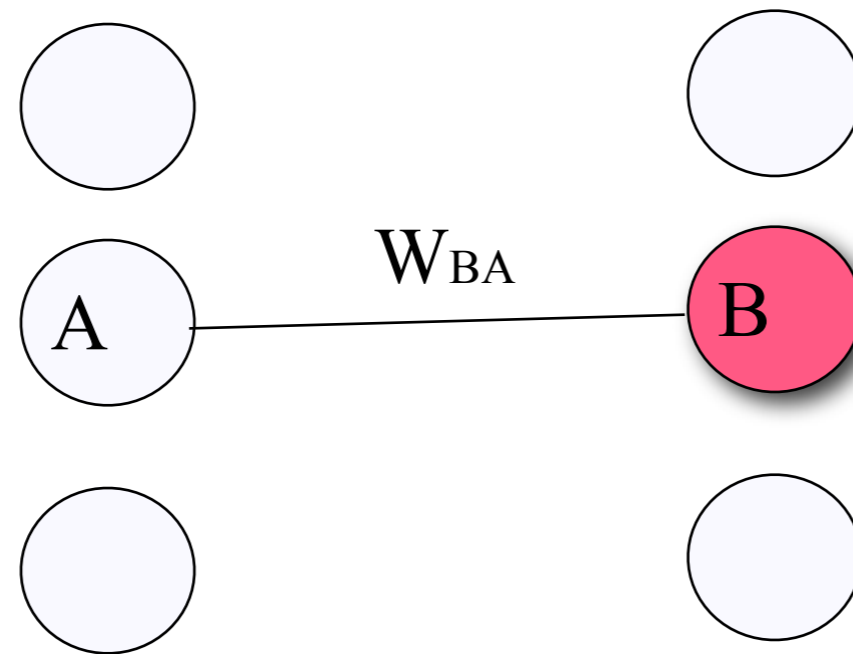


The sole A activity is not enough to induce B activation

$$A * W_{BA} < \theta_B$$

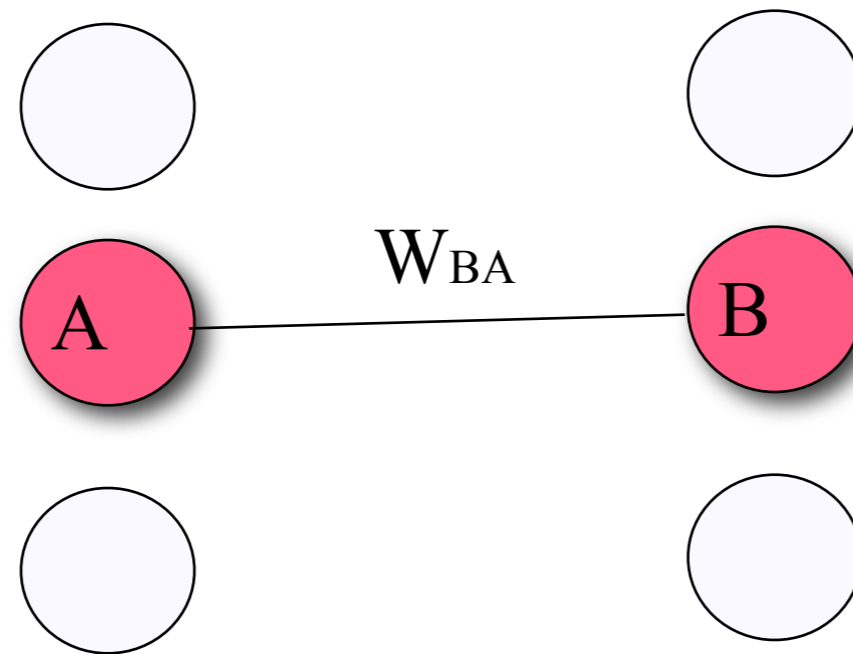
Learning

Hebb Rule [Hebb 49] :



Learning

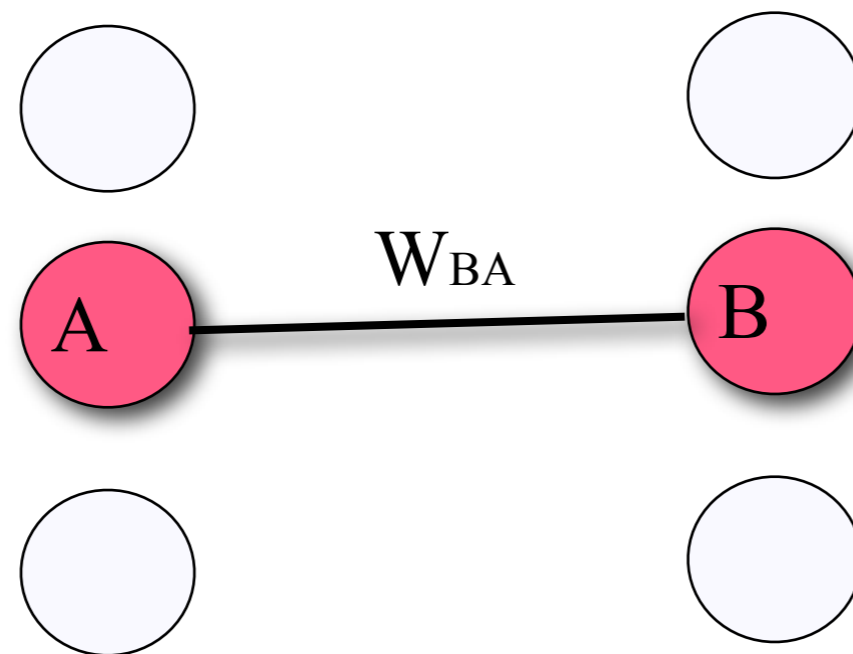
Hebb Rule [Hebb 49] :



For some reason... coactivation of A and B...

Learning

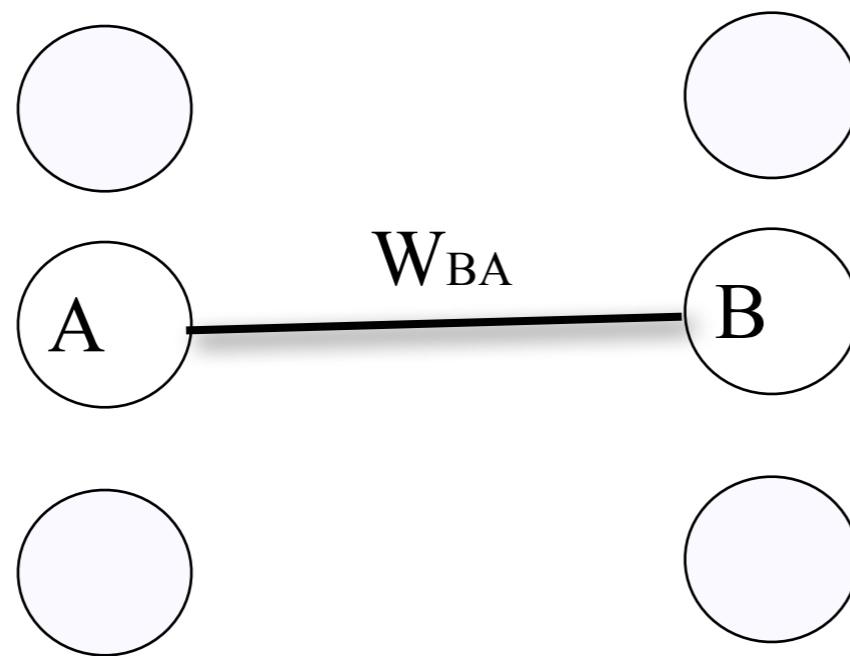
Hebb Rule [Hebb 49] :



For some reason... coactivation of A and B...repeatedly
increase of the connection W_{BA}

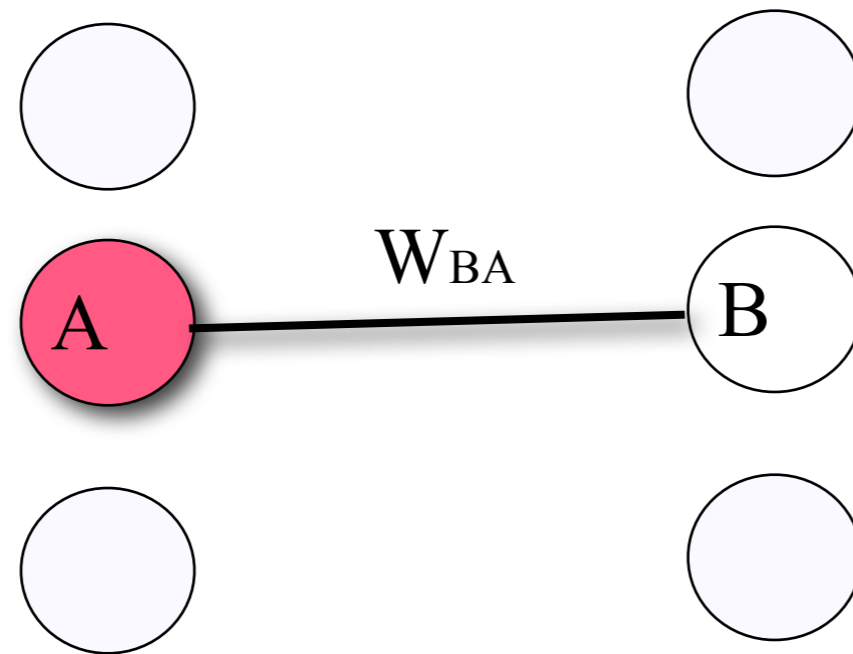
Learning

Hebb Rule [Hebb 49] :



Learning

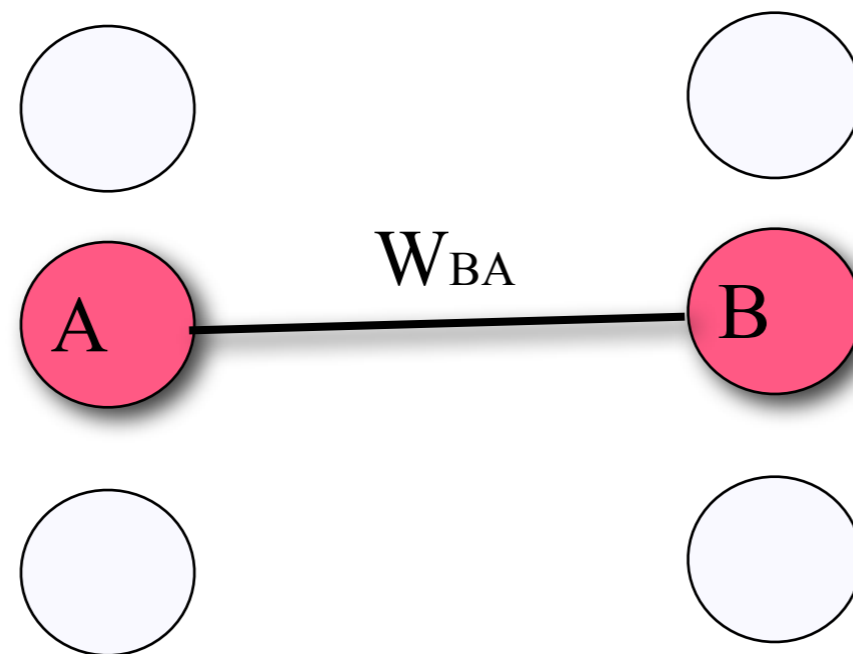
Hebb Rule [Hebb 49] :



The sole A activity is now enough ...

Learning

Hebb Rule [Hebb 49] :



The sole A activity is not enough to overshoot B threshold

$$A * W_{BA} > \theta_B$$

Learning

- Hebb rule

$$W_{ij}(t+1) = w_{ij}(t) + \text{eps} \cdot Y_j \cdot Y_i$$

i.e :

$$\Delta W_{ij} = \text{eps} \cdot Y_j \cdot Y_i$$

with :

eps : learning speed

Learning



Training mobile robots to perform
path following by an intuitive
human-robot interaction

C. Giovannangeli
P. Gaussier

ETIS Laboratory
CNRS UMR 8051
Cergy-Pontoise University



Overview

Introduction : from biology to the formal neuron

Part I : supervised learning

- perceptron
 - simple rule
 - Widrow Hoff rule
 - limitations
- associative memories
- multi-layer perceptron
- backpropagation

Part II : unsupervised learning

- brain mechanisms
 - competition and cooperation
 - WTA
- Self Organizing Maps
 - Kohonen maps
 - K-means (analogy)
- Let's put it all together
 - ART

Supervised learning

- goal :
 - make the network learn to categorize inputs
 - pattern recognition
 - class separation
- method :
 - train the network from different inputs
 - test the network generalization

Supervised learning

- goal :
 - make the network **learn** to **categorize** inputs
 - **pattern recognition**
 - **class separation**
- method :
 - **train** the network from different inputs
 - test the network **generalization**

Supervised learning

The network will learn

- structural changes
- modification of W_{ij} in order to obtain the correct output
- the expected result is a correct categorization
- learning is iterative: not too fast, not too slow
- learning rate epsilon
- test the generalization

Supervised learning

How to guide the learning ?

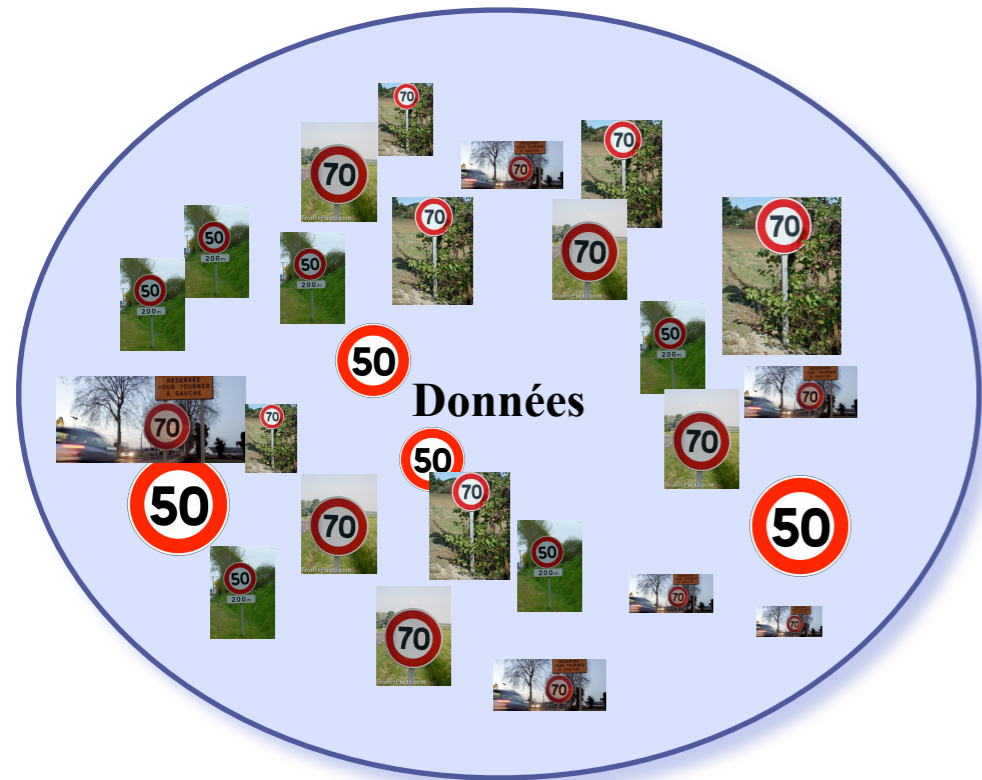
- fundamental notion of error
- supervised : we expect a given answer
- at each time step, we calculate the error
- error : (desired output - output)
- while there is an error : we change the weights

Supervised learning

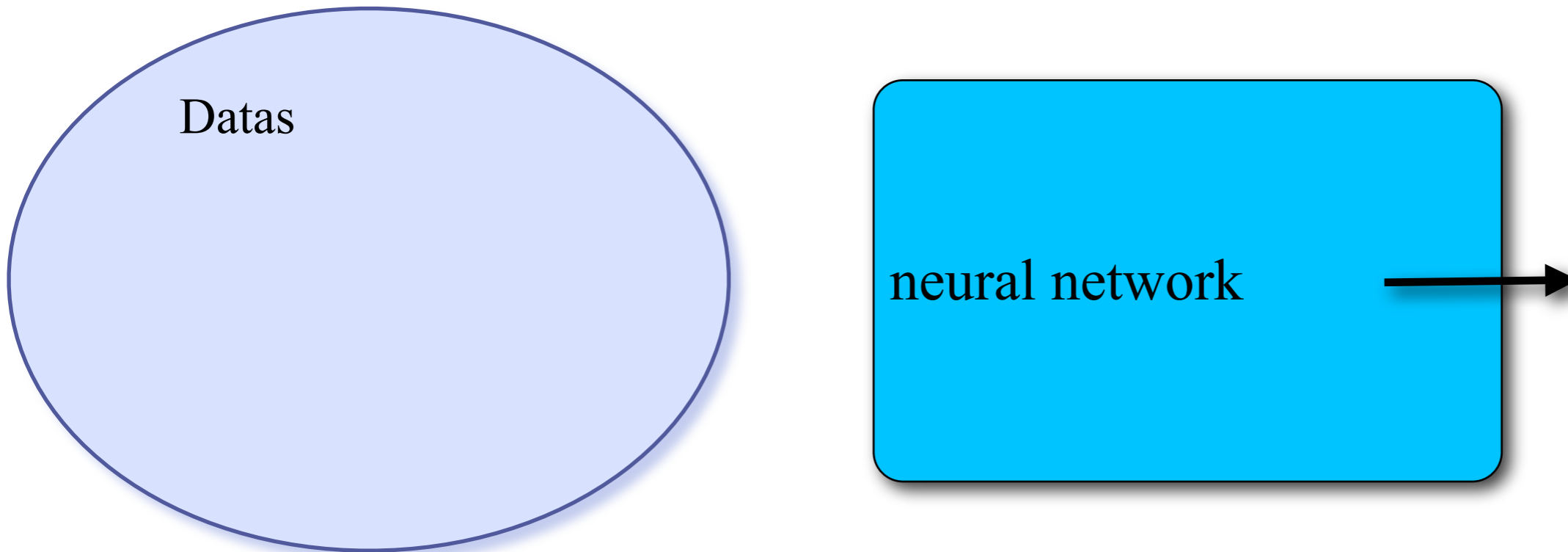
Example



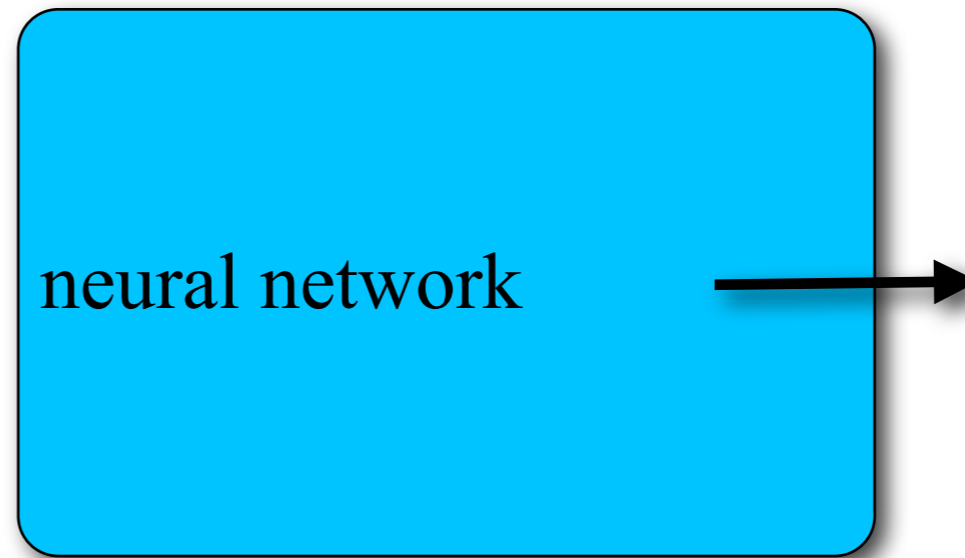
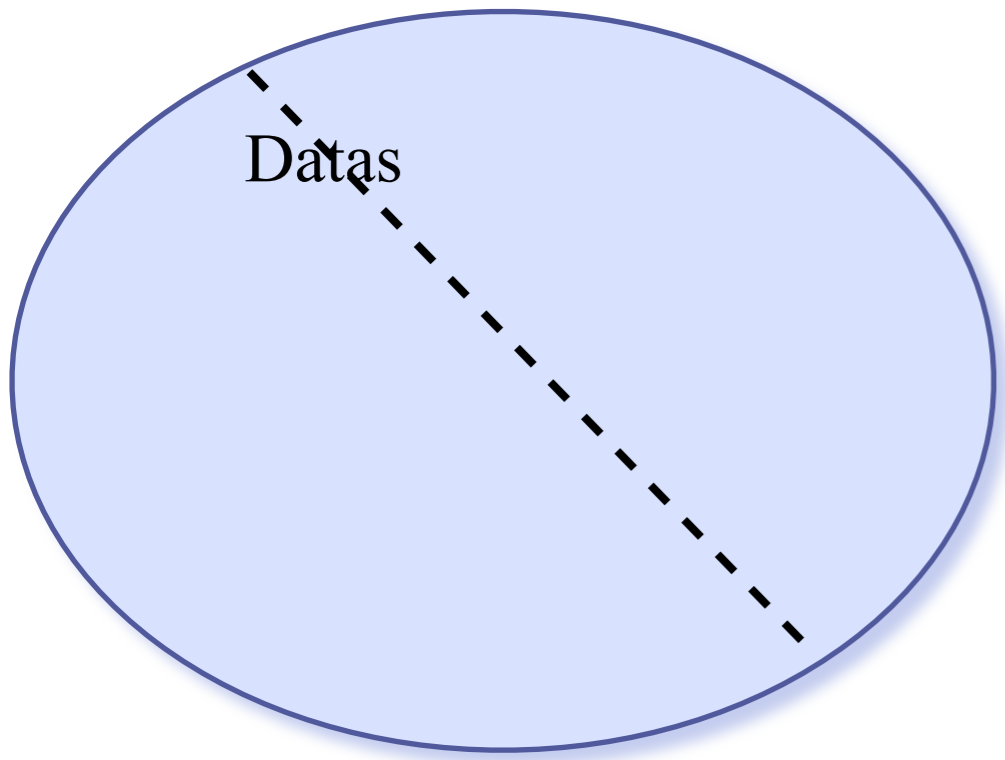
Supervised learning



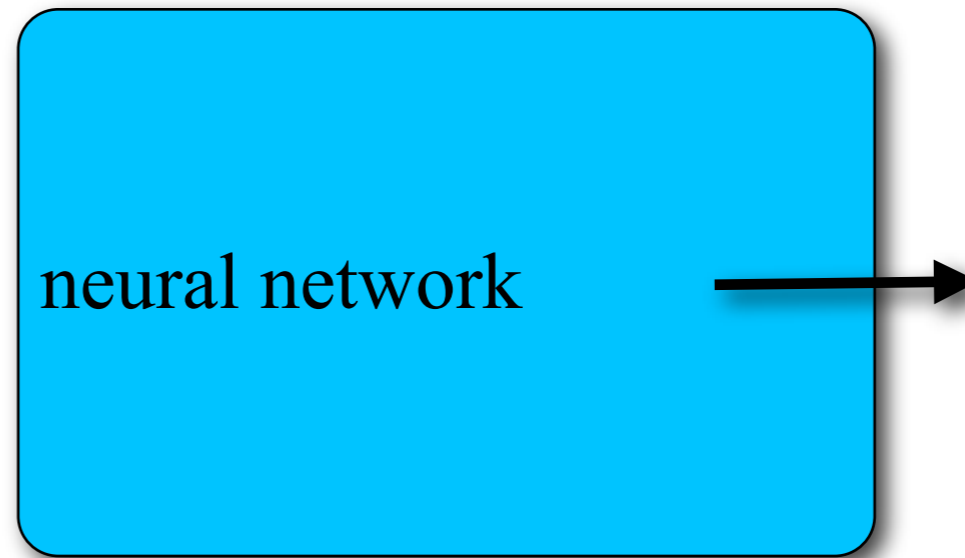
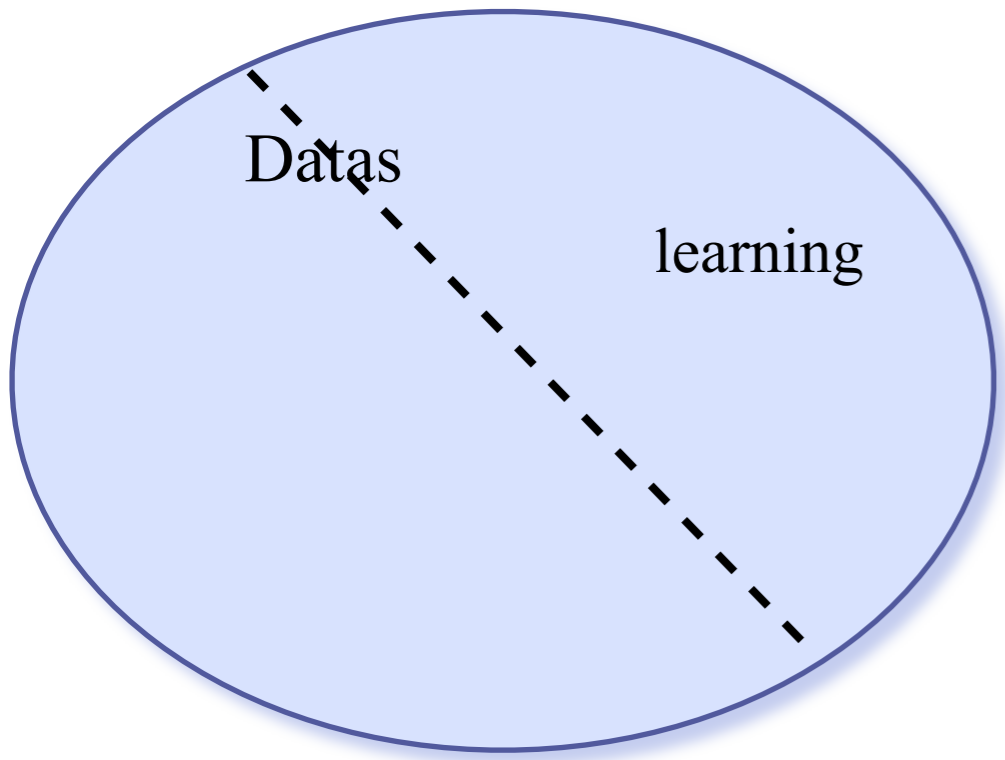
Supervised learning



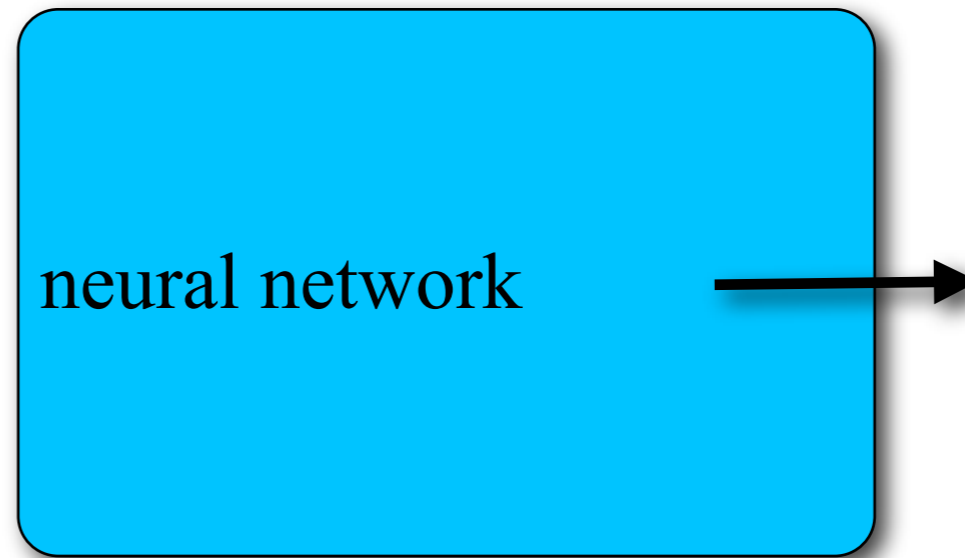
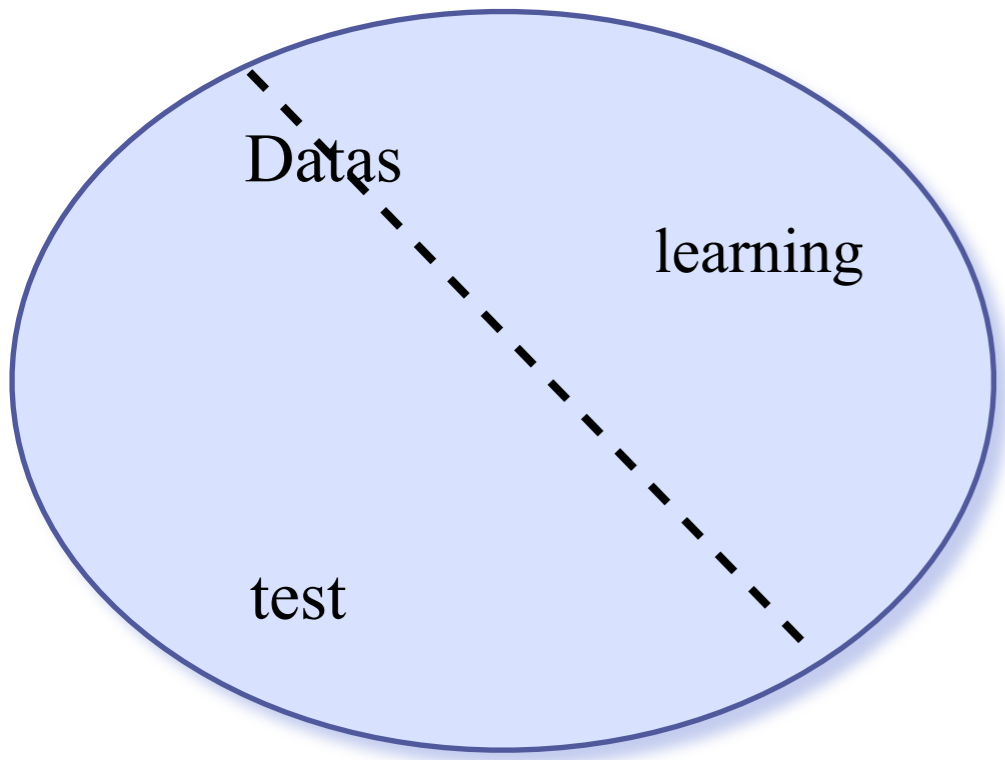
Supervised learning



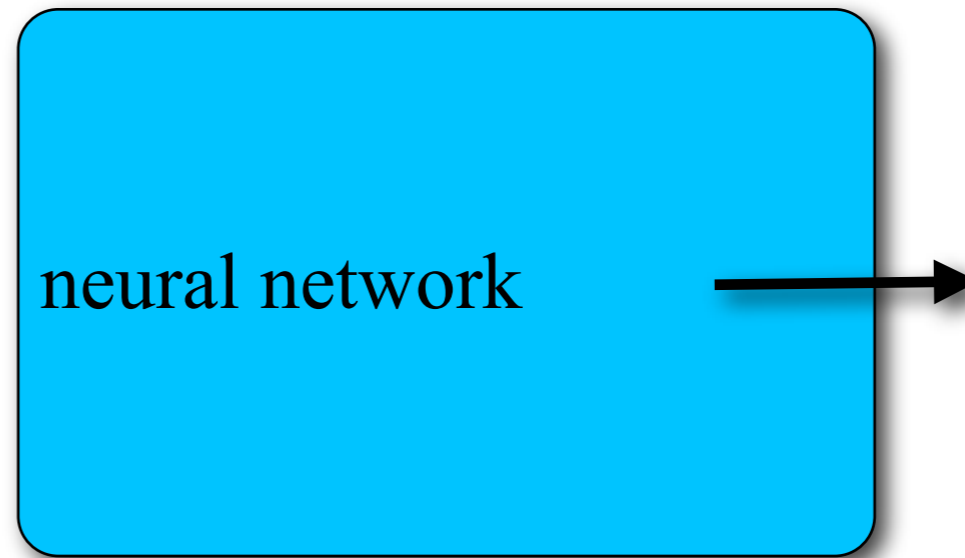
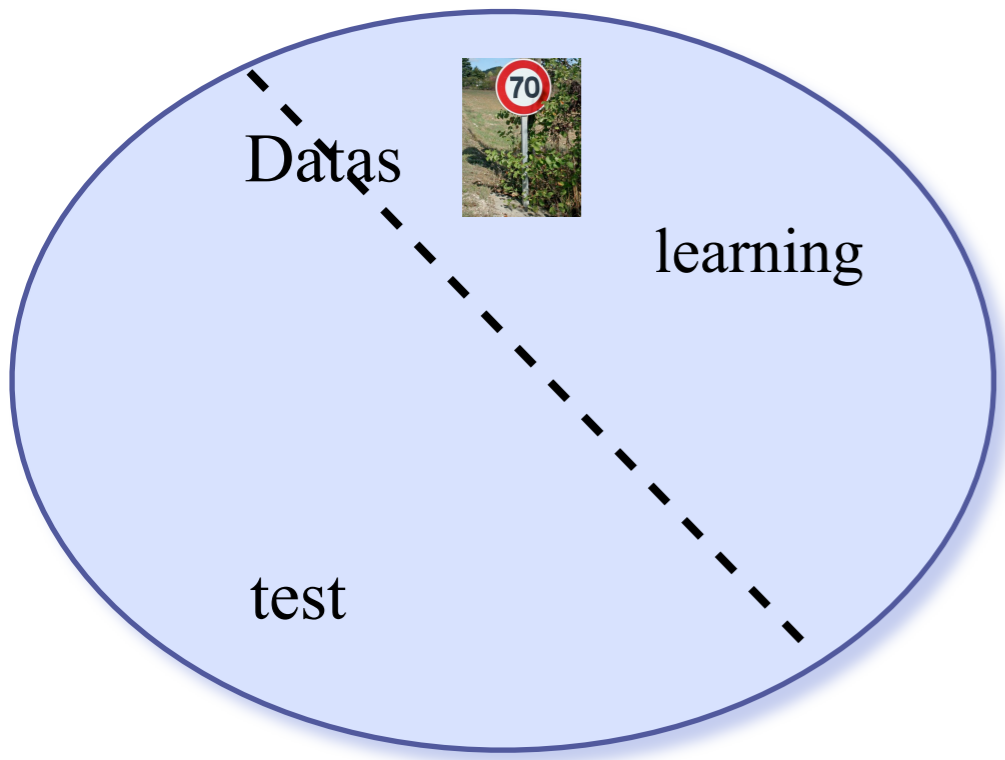
Supervised learning



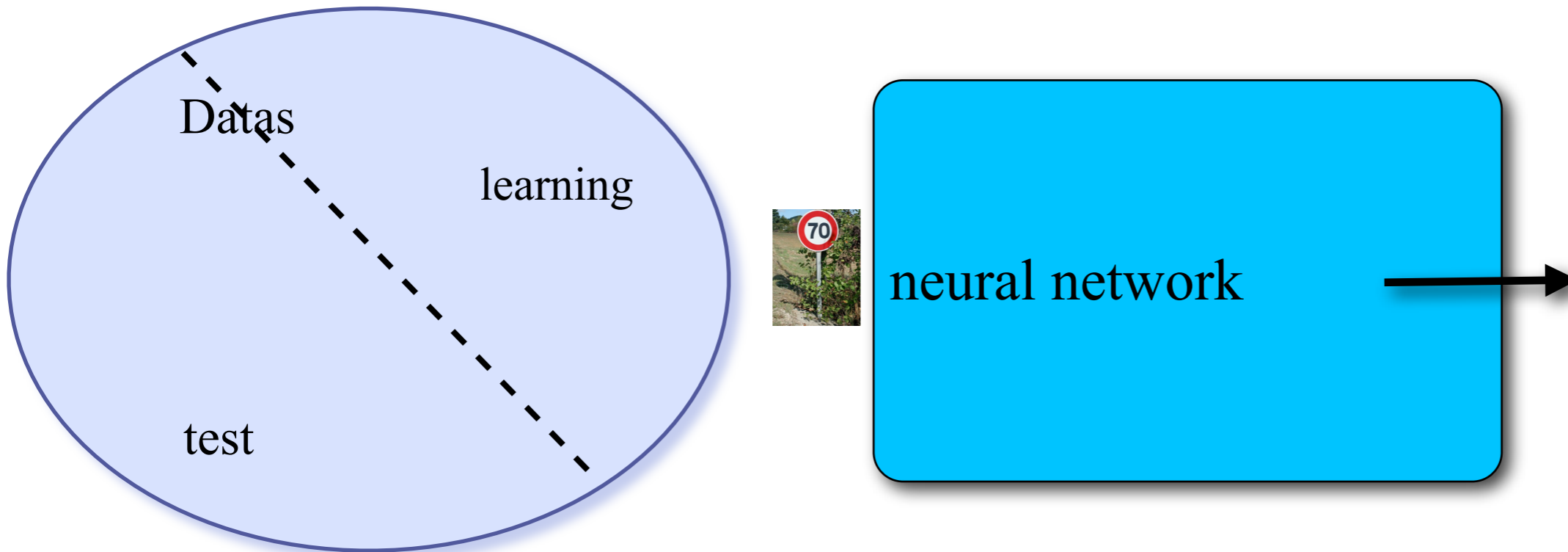
Supervised learning



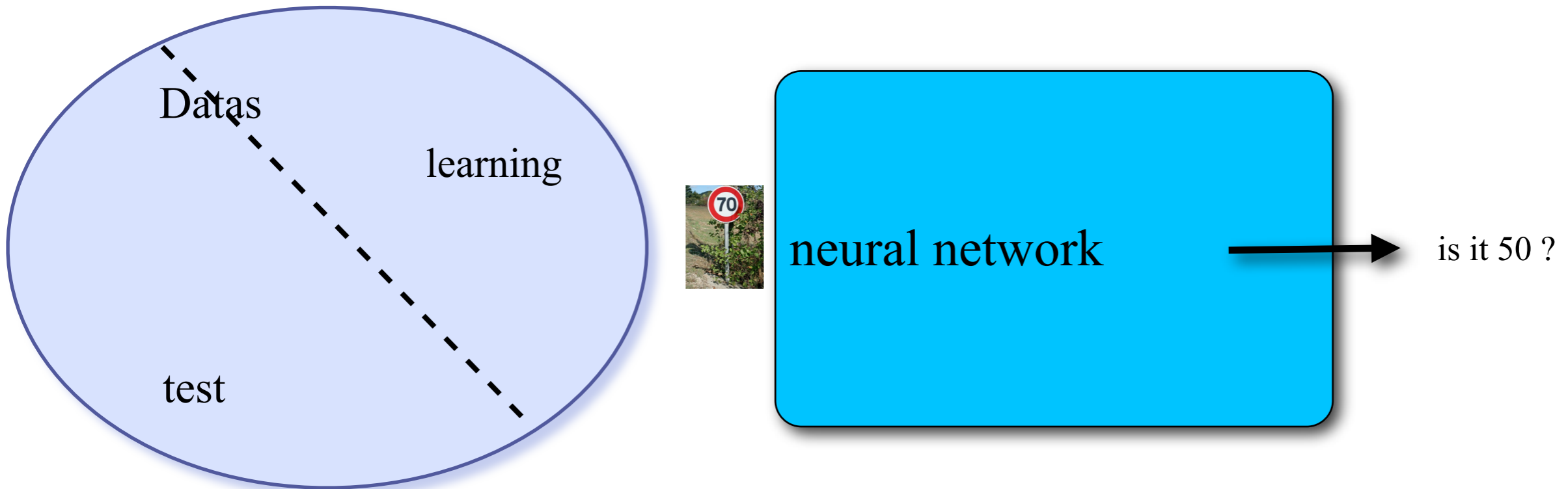
Supervised learning



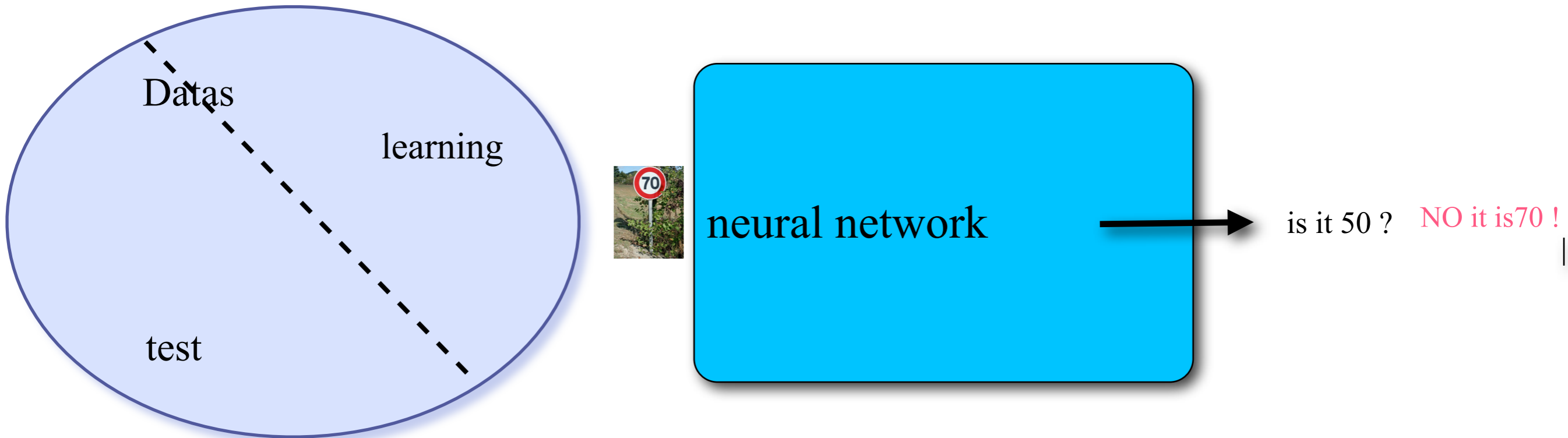
Supervised learning



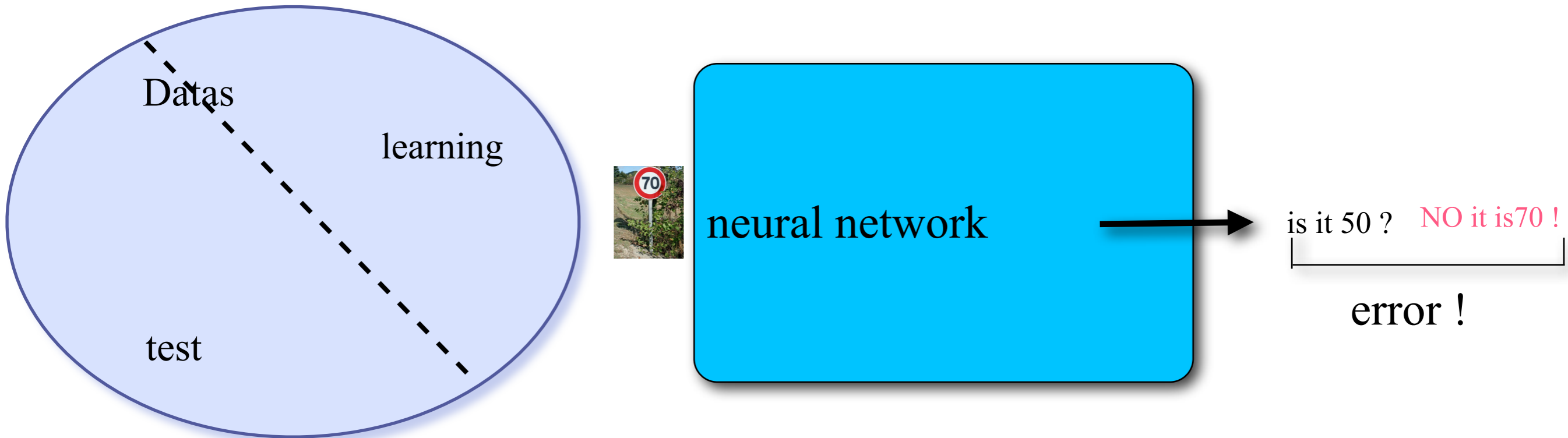
Supervised learning



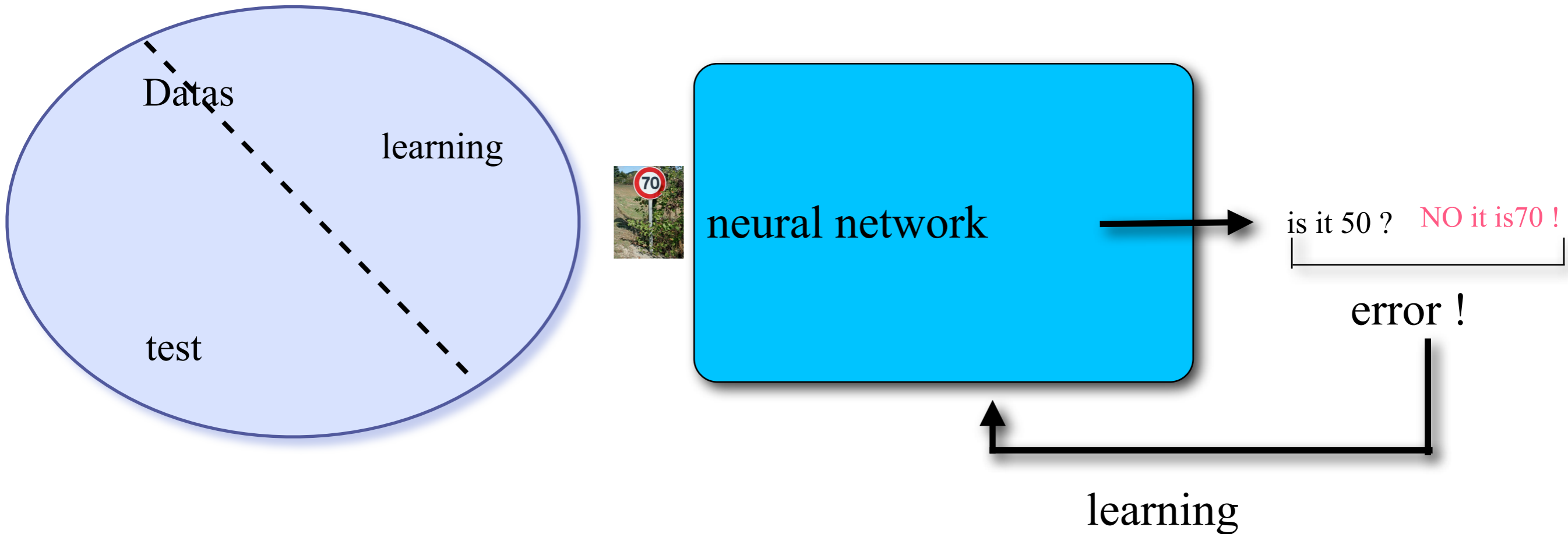
Supervised learning



Supervised learning



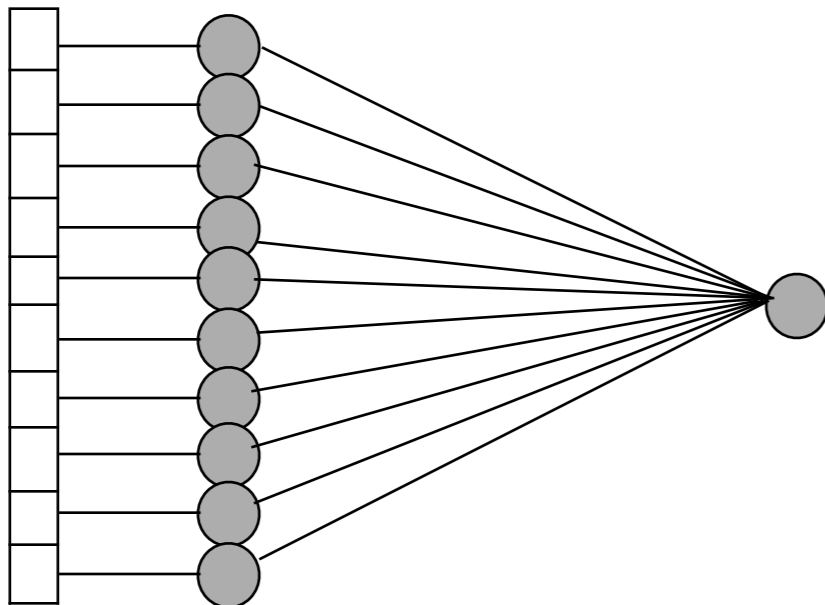
Supervised learning



Perceptron

Architecture

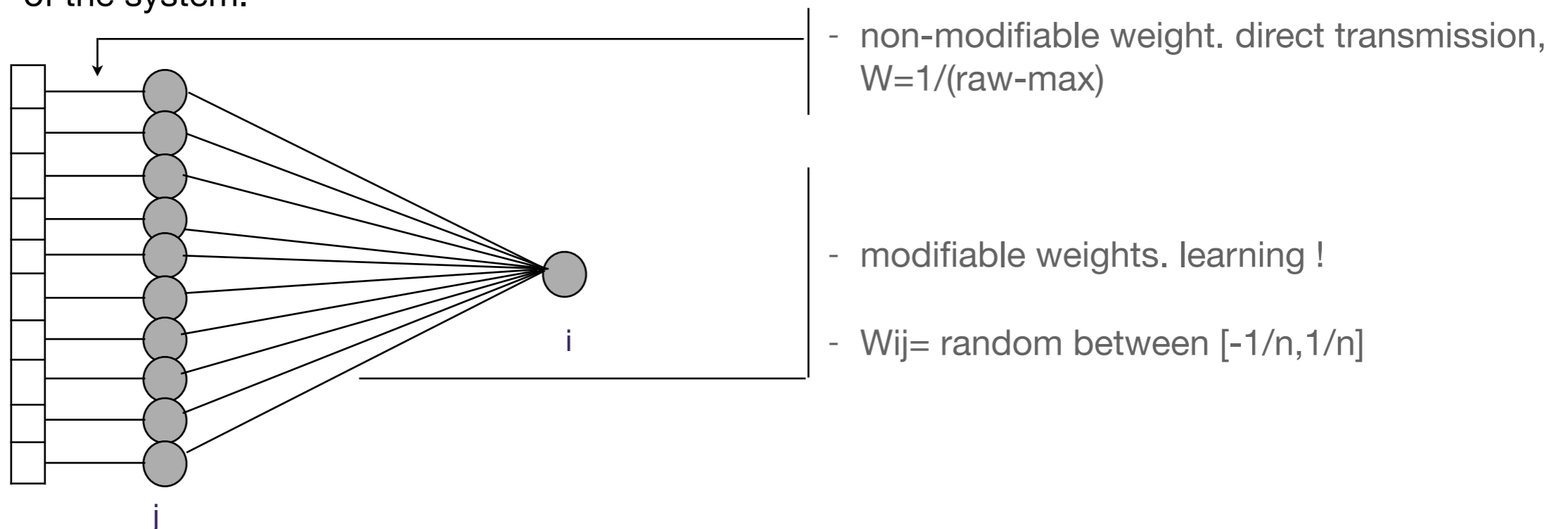
- As input, the retina : raw numerical information
- A first layer of neurons : one-one connections with the rétina (normalization only)
- A last layer, called decision layer : the output of the system.



Perceptron

Architecture

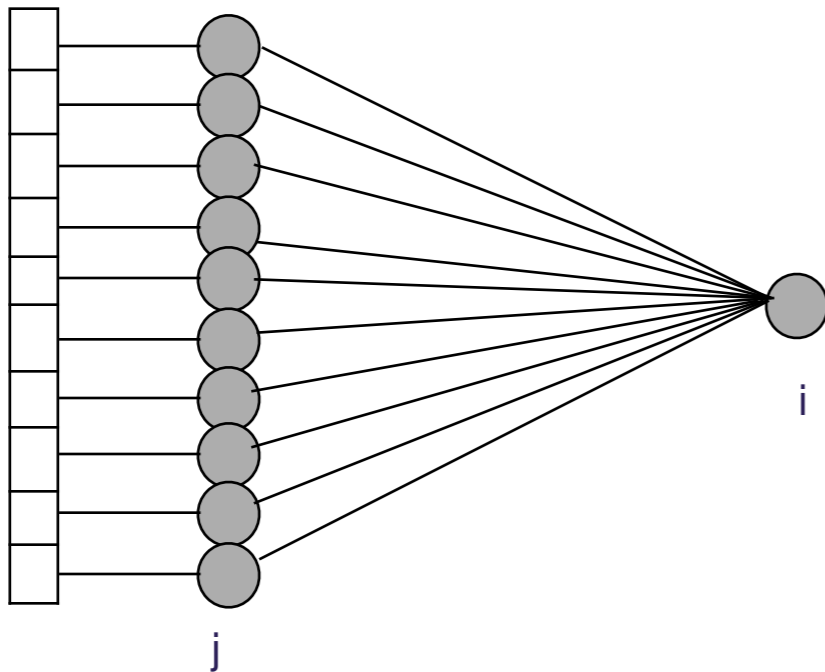
- As input, the retina : raw numerical information
- A first layer of neurons : one-one connections with the rétina (normalization only)
- A last layer, called decision layer : the output of the system.



Perceptron

Learning : the simple rule

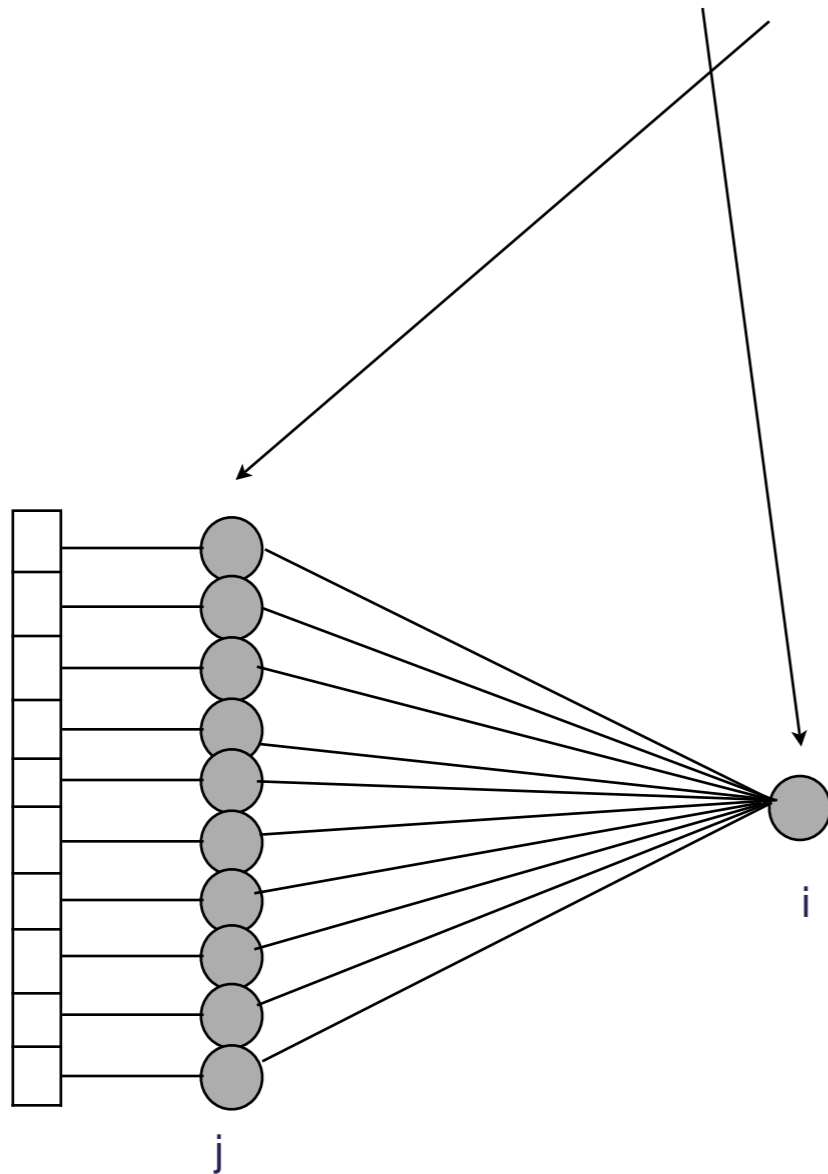
$$w_{ij}(t+i) = w_{ij}(t) + \text{eps.} \cdot (Y_d - Y_i) \cdot X_j$$



Perceptron

Learning : the simple rule

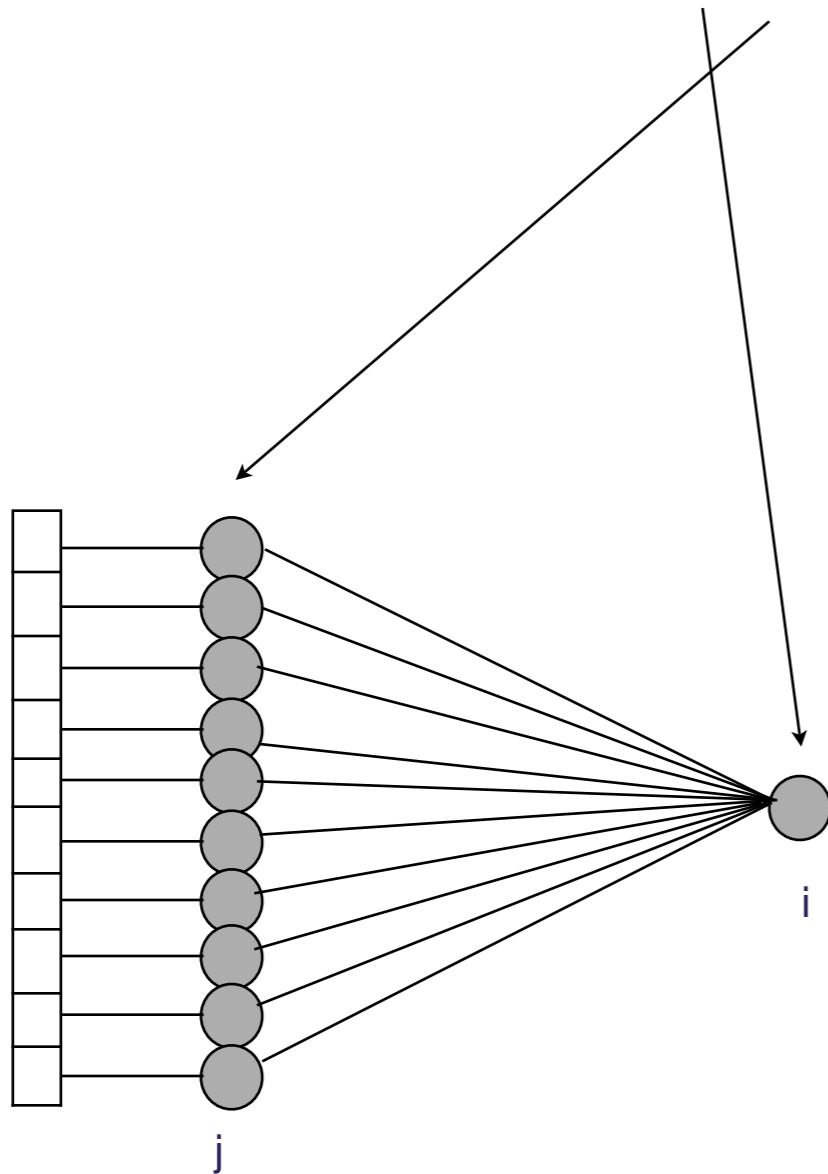
$$w_{ij}(t+i) = w_{ij}(t) + \text{eps.} \cdot (Y_d - Y_i) \cdot Y_j$$



Perceptron

Learning : the simple rule

$$w_{ij}(t+i) = w_{ij}(t) + \text{eps.} \cdot (Y_d - Y_i) \cdot Y_j$$

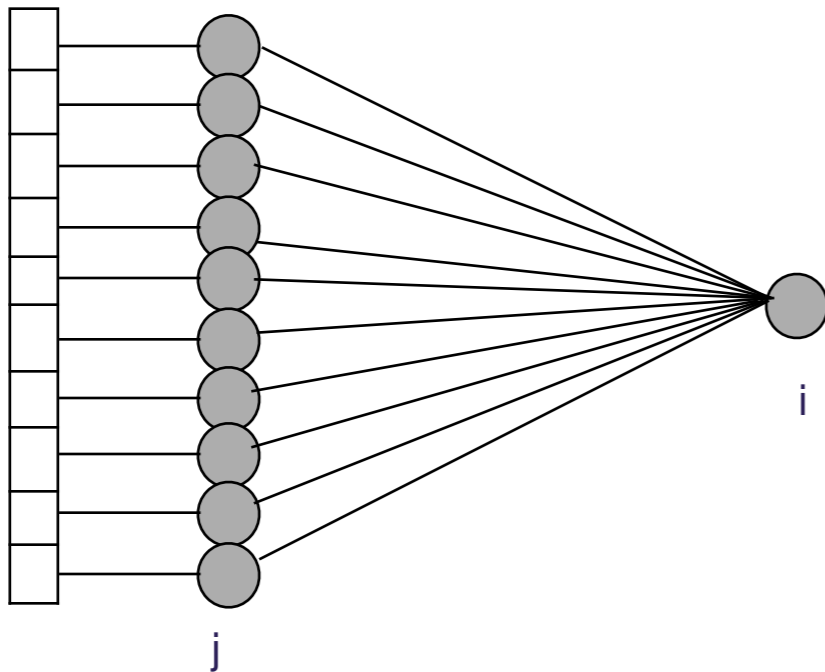


Perceptron

Learning : the simple rule

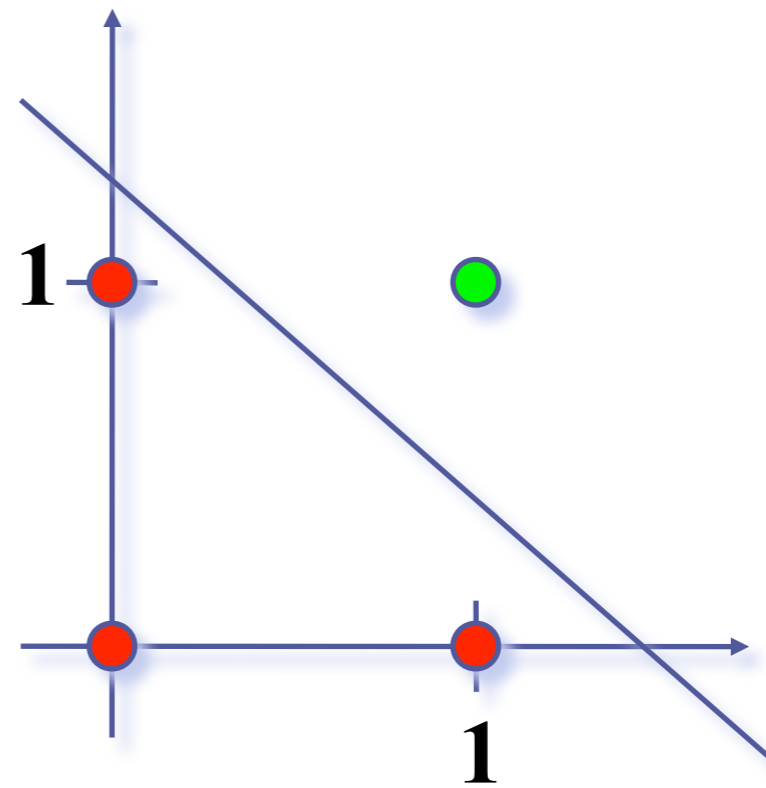
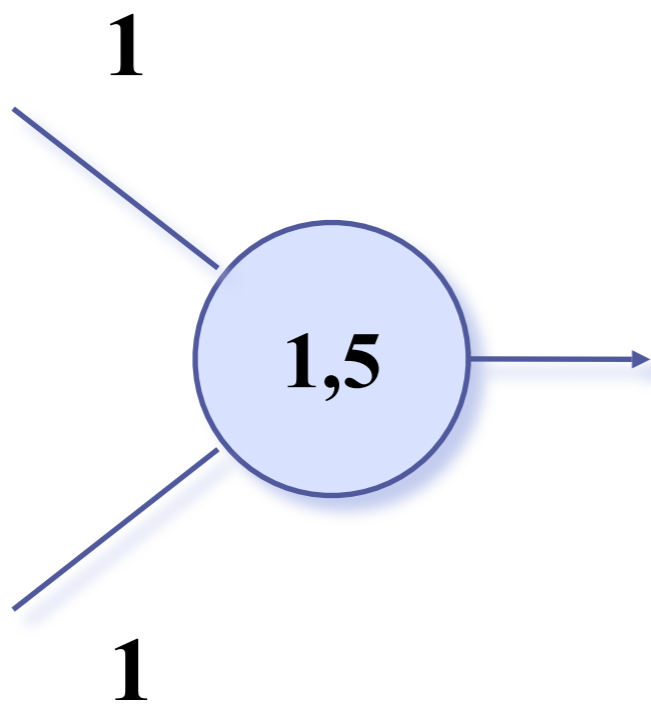
$$w_{ij}(t+i) = w_{ij}(t) + \text{eps.} \cdot (Y_d - Y_i) \cdot Y_j$$

error



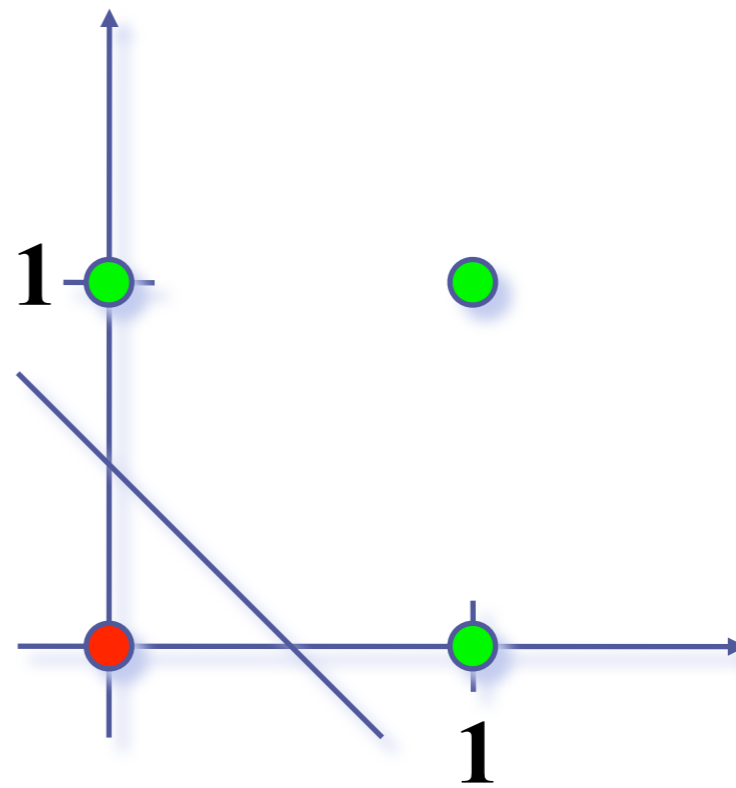
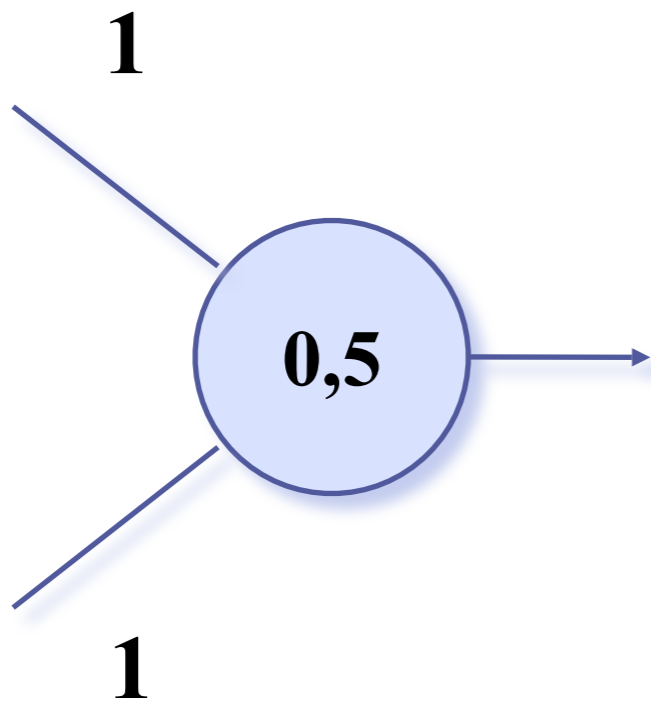
Perceptron

- logical AND



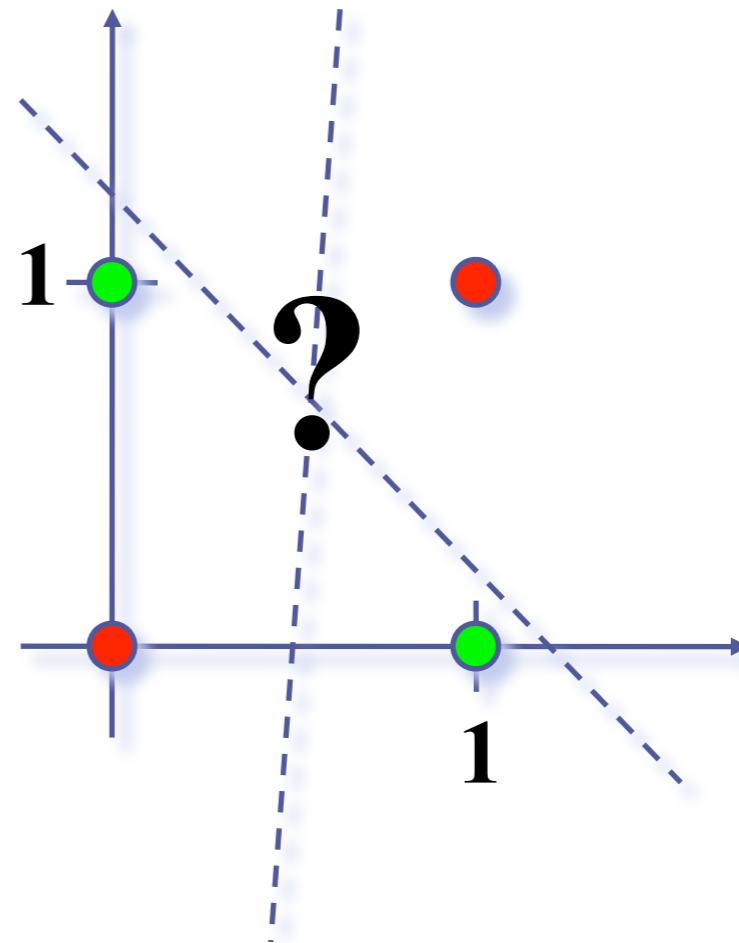
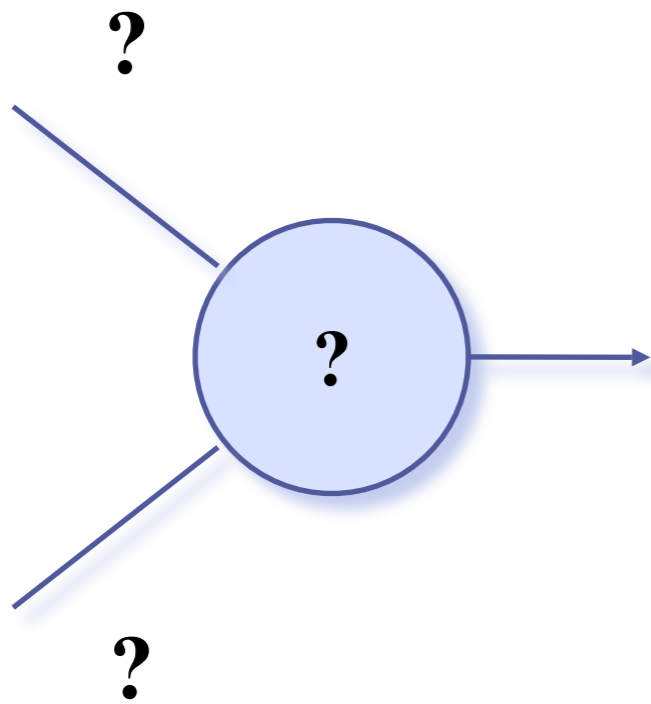
Perceptron

- logical OR



Perceptron

- XOR ?

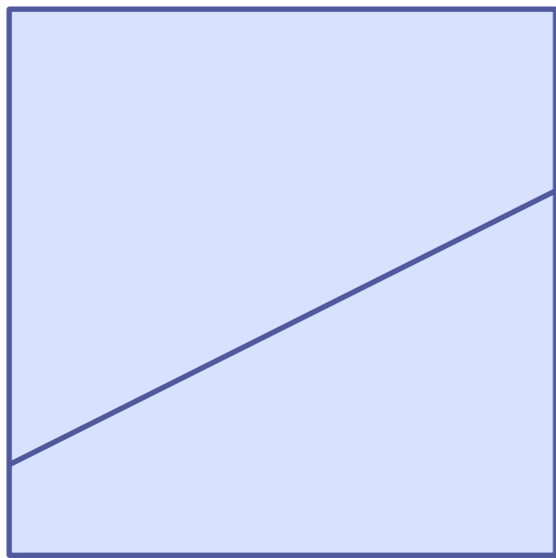


Perceptron

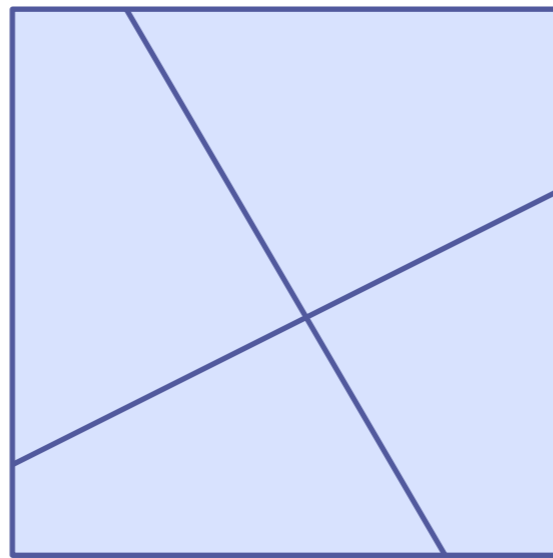
- limited to linear separation
- **what to ?**
 - increase the number of layers and combine the outputs

Perceptron

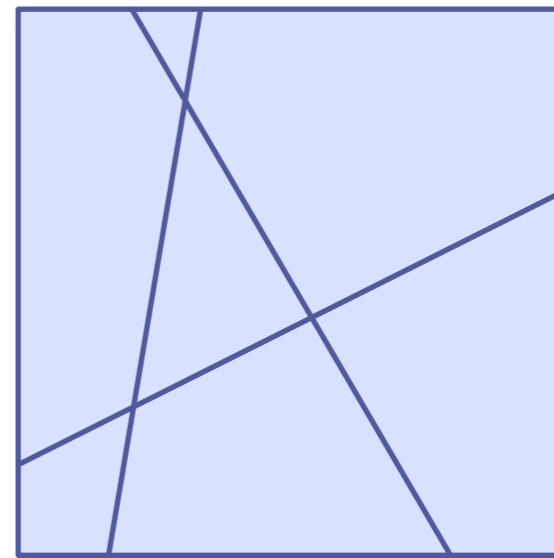
- limited to linear separation
- **what to ?**
 - increase the number of layers and combine the outputs



1 layer



2 layers



3 layers

...